

**BEFORE THE
VETERINARY MEDICAL BOARD
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA**

**IN THE MATTER OF THE PETITION FOR EARLY TERMINATION OF PROBATION
OF CATHALEEN CANFIELD AND CANFIELD EQUINE PRACTICE, INC.**

Agency Case No. 4602021001575

HEARING DOCUMENTS

EX. #1 Case Center Page Numbers	DOCUMENTS
A1-A2	Notice of Hearing
A3	License Certification
A4-A6	Petition for Early Termination of Probation
A7	Respondent's Written Statement
A8-A10	Respondent's CV
A11-A12	Letters of Support
A13-A34	Continuing Education Certificates
A35-A82	Decision and Order Effective June 19, 2023, Exhibit A: Accusation 4602021001575 Filed April 8, 2022
A83-A84	Probation Compliance Report



VIA ELECTRONIC MAIL, CERTIFIED MAIL AND REGULAR MAIL

October 27, 2025

Cathaleen Canfield, DVM
710 S Myrtle Ave # 241
Monrovia, CA 91016-3423
canfielddvm@gmail.com

**RE: HEARING NOTICE
OAH Case No. TBD
Petition for Early Termination or Modification of Penalty – Dr. Cathaleen Canfield**

Dear Dr. Cathaleen Canfield:

You are hereby notified that a hearing will be held before the California Veterinary Medical Board, Department of Consumer Affairs:

**Date: Thursday, January 22, 2026
Time: 9:00 AM Pacific Time
Location: Department of Consumer Affairs
Hearing Room
1625 N. Market Blvd
Sacramento, CA 95834**

Alternatively, in lieu of attending in-person at this hearing in the Sacramento office, you may attend and participate virtually via Webex:

Event address:
<https://dca-meetings.webex.com/dca-meetings/j.php?MTID=m42c3fdb0fb4e34f97b50576581d9f911>

**Event number: 2480 092 3837
Event password: CVMB122**

**Phone audio conference: (415) 655-0001
Access code: 2480 092 3837
Passcode: 2862122**

The hearing will be conducted before the California Veterinary Medical Board, Department of Consumer Affairs and an administrative law judge of the Office of Administrative Hearings, who will preside over the Petition for Early Termination of Probation or Modification of Penalty.

A001

You may be present at the hearing. You have the right to be represented by an attorney at your own expense. You are not entitled to the appointment of an attorney to represent you at public expense. You are entitled to represent yourself without legal counsel. You may present any relevant evidence and will be given full opportunity to cross-examine all witnesses testifying against you. You are entitled to the issuance of subpoenas to compel the attendance of witnesses and the production of books, documents, or other things by applying to:

**Office of Administrative Hearings
Attn: General Jurisdiction
2349 Gateway Oaks, Suite 200
Sacramento CA 95833**

INTREPRETER: Pursuant to section 11435.20 of the Government Code, the hearing shall be conducted in English language. If a party or party's witness does not proficiently speak or understand the English language and before commencement of the hearing requests language assistance, an agency subject to the language assistance requirement in section 11435.15 of the Government Code shall provide a certified interpreter or an interpreter approved by the administrative law judge conducting the proceedings. The cost of providing the interpreter shall be paid by the agency having jurisdiction over the matter if the administrative law judge or hearing officer so directs, otherwise by the party for whom the interpreter is provided. If you or a witness requires the assistance of an interpreter, ample advance notice of this fact should be given to the Office of Administrative Hearings so that appropriate arrangements can be made.

CONTINUANCES: Under section 11524 of the Government Code, the agency may grant a continuance, but when an administrative law judge of the Office of Administrative Hearings has been assigned to the hearing, no continuance may be granted except by him or her or by the presiding judge for good cause. When seeking a continuance, a party shall apply for the continuance within 10 working days following the time the party discovered or reasonably should have discovered the event or occurrence which establishes good cause for the continuance. A continuance may be granted for good cause after the 10 working days have lapsed only if the party seeking the continuance is not responsible for and has made a good faith effort to prevent the condition or even establishing the good cause.

Please visit the Board's website at www.vmb.ca.gov to view a copy of the agenda or you may contact me at (916) 905-5434 or via email at Alexander.Juarez@dca.ca.gov.

Sincerely,

SIGNATURE ON FILE

Alexander A. Juarez
Probation Monitor
California Veterinary Medical Board

cc: Neva Tassan, Deputy Attorney General



CERTIFICATION OF LICENSE HISTORY

This is to certify that I, Robert Stephanopoulos, Enforcement Manager of the Veterinary Medical Board (Board), Department of Consumer Affairs, State of California, share the responsibility of maintaining control and custody of the official records of the Board. I made or caused to be made a diligent search of the files and records concerning the license history of Dr. Cathaleen Canfield. I have determined that the official records prepared by Board employees, acting within the scope of their duties, show the dates and time periods listed herein for the issuance, expiration, periods of invalidity, and renewals of the license, as well as citations issued and periods of formal Board discipline:

VET No. 12992:

Cathaleen Canfield, DVM
710 S Myrtle Ave # 241
Monrovia, CA 91016-3423

First Issued: June 13, 1997
Expiration: January 31, 2026
Status: Current
Secondary Status: Probation

HSP No. 6058:

Canfield Equine Practice, Inc.
725 W Lemon Ave. Apt B
Monrovia, CA 91016-2589

First Issued: June 15, 2005
Expiration: May 31, 2026
Status: Current
Secondary Status: None

Discipline:

On or about April 8, 2022, the Board filed an Accusation (Case No. 4602021001575) against Respondent. On March 22, 2023, Respondent agreed to a Stipulated Settlement, placing her license on probation for four years with terms and conditions, effective June 19, 2023.

Dated at Sacramento, California, this 24th day of October 2025

SIGNATURE ON FILE

Robert Stephanopoulos, Enforcement Manager

CLEAR FORM



BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY • GAVIN NEWSOM, GOVERNOR
 DEPARTMENT OF CONSUMER AFFAIRS • VETERINARY MEDICAL BOARD
 1747 North Market Blvd., Suite 230, Sacramento, CA 95834-2978
 P (916) 515-5220 | Toll-Free (866) 229-0170 | www.vmb.ca.gov



PETITION FOR REINSTATEMENT OR MODIFICATION OF PENALTY

INSTRUCTIONS: Please type or print neatly. All blanks must be completed; if not applicable enter N/A. If more space is needed attach additional sheets. Attached to this application should be a "Narrative Statement" and two original verified recommendations from a veterinarian licensed by the Board who has personal knowledge of activities since the disciplinary action was imposed.

TYPE OF PETITION [Reference Business and Professions Code section 4887]

☐ Reinstatement of Revoked/Surrendered License or Registration ☒ Modification of Probation ☒ Termination of Probation

NOTE: A Petition for Modification and/or Termination of Probation can be filed together. If you are requesting Modification, you must specify in your "Narrative Statement" the term(s) and condition(s) of your probation that you want reduced or modified and provide an explanation. Please check all boxes above that apply.

PERSONAL INFORMATION

NAME: First Middle Last
 Cathaleen Susanne Canfield

Other name(s) licensed under, if any:

HOME ADDRESS: Number & Street City State Zip
 725 W. Lemon Ave Unit B Monrovia C A 91016-2589

HOME TELEPHONE NUMBER WORK TELEPHONE NUMBER CELL NUMBER
 () N/A (714) 952-1136 [REDACTED]

E-mail address: canfielddvm@gmail.com CA License or Registration Number 12992

Are you licensed by any other state(s) or country(ies) (please include license number(s), issue date(s), and status of license(s)):
 Kentucky, # 281602, 6-15-2023, active

ATTORNEY INFORMATION (If Applicable)

Will you be represented by an attorney? ☒ No ☐ Yes (If "Yes," please provide the following information)

NAME: N/A

ADDRESS: N/A

PHONE:

DISCIPLINARY INFORMATION

Provide a brief explanation in your "Narrative Statement" as to the cause for the disciplinary action (e.g., negligence or incompetence, self use of drugs or alcohol, extreme departures from sanitary conditions, conviction of a crime, etc.)

Have you ever had your license revoked, suspended, voluntarily surrendered, denied, or placed on probation in any other state or country? ☒ No ☐ Yes

(If Yes, give a brief cause for administrative action or license denial in your "Narrative Statement" section, including dates and discipline ordered (e.g., 5 years probation.)

VETERINARIAN/REGISTERED TECHNICIAN BACKGROUND
Total number of years in veterinary practice: <u>28 years</u>
CONTINUING EDUCATION (List continuing education completed since the date of the disciplinary action)
ADDENDUM ATTACHED Veterinary Bootcamp-med records 8-29-23 10 hrs Medical records the roadmap to quality care 9-9-24 10 hrs CVMB Cures webinar 5-6-25 2 hrs CVMB Misbranded drugs webinar 1-28-25 2 hrs CVMB What to expect when being inspected 7-27-23 2 hrs Equine claim process 6-6-23 1hr USDA APHIS modules #5 modules 2-2024 5 hrs USDA APHIS Use of antibiotics in Animals 9-8-23 1 hr Equine online diagnostic symposium 10-25-24 8 hrs Decision making and the role of telemedicine 10-8-24 3 hrs Unravelling the influence of bridles and bits on welfare and performance 10-24-24 3 hrs
CURRENT OCCUPATION OTHER THAN VETERINARIAN OR REGISTERED VET TECHNICIAN (Answer only if currently not practicing as a Veterinarian or Registered Vet Technician)
List employer, address, e-mail address, phone number, job title, and duties:
EMPLOYMENT HISTORY (list for the past 5 years only)
Provide the employer's name, address, phone number, job title and dates of employment:
Equine Medical Center, 10542 Walker Street, Cypress, CA 90630 714-952-1134
Veterinarian, December 2015- present
REHABILITATION
Describe any rehabilitative or corrective measures you have taken since your license/registration was disciplined. List dates, nature of programs or courses, and current status. You may include any community service or volunteer work.
ADDENDUM ATTACHED Veterinary Bootcamp-med records 8-29-23 10 hrs Medical records the roadmap to quality care 9-9-24 10 hrs CVMB Cures webinar 5-6-25 2 hrs CVMB Misbranded drugs webinar 1-28-25 2hrs CVMB What to expect when being inspected 7-27-23 2hrs Equine claim process 6-6-23 1 hr I researched and helped implement a new digital practice management software for our practice to comply with the medical records requirements in the California veterinary practice act.

CURRENT COMPLIANCE

Since the effective date of your last Veterinary Medical Board disciplinary action have you:

- | | | |
|---|------------------------------|--|
| 1. Been placed on criminal probation or parole? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 2. Been charged in any pending criminal action by any state, local or federal agency or court? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 3. Been convicted of any criminal offense? (A conviction includes a no contest plea; disregard traffic offenses with a \$100 fine or less.) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 4. Been charged or disciplined by any other veterinary board? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 5. Surrendered your license to any other veterinary board? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 6. Had your licensee manager's premise permit disciplined? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 7. Had any civil malpractice claims filed against you of \$10,000 or more? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 8. Become addicted to the use of narcotics or controlled substances? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 9. Become addicted to or received treatment for the use of alcohol? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| 10. Been hospitalized for alcohol or drug problems or for mental illness? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |

NOTE: If your answer is "Yes" to any of the above questions, please explain in the "Narrative Statement."

COST RECOVERY

Was cost recovery ordered? ☒ Yes ☐ No If yes, what is the remaining balance? 0.00

When is payment anticipated? _____

DECLARATION

Executed on June 30 20 25, at Monrovia, C A
(City) (State)

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that all statements and documents attached in support of this petition are true and correct.

Cathaleen Susanne Canfield, DVM
Petitioner (print name)

Cathaleen S Canfield DVM
Signature

The information in this document is being requested by the Veterinary Medical Board (Board) pursuant to Business and Professions Code section 4887. In carrying out its licensing or disciplinary responsibilities, the Board requires this information to make a determination on your petition for reinstatement or modification of penalty. You have a right to access the Board's records containing your personal information as defined in Civil Code section 1798.3. The Custodian of Records is the Executive Officer at the address shown on the first page.

Narrative Statement

Cathaleen Canfield, DVM

Veterinarian License #12992

Case No. 4602021001575

June 27, 2025

I was placed on probation for 4 years for insufficient medical record keeping and had nine causes for discipline.

I have since corrected the insufficiencies and have worked very hard to keep accurate medical records that adhere to California's veterinary practice act. I also adhere to the CHRB and HISA regulations and reporting requirements for providing care to racehorses.

I have completed the required medical recordkeeping CE every year and attended virtually the webinars the CA VMB and AVMA Life/AVMA PLIT have produced. I have attended CE courses for routine license renewal every two years.

Journals I routinely read are the Journal of the American Veterinary Medical Association and Equine Veterinary Education.

I have a medical record reviewer review my records on a monthly basis as part of my stipulated agreement with the board. I have had an inspection from the veterinary medical board in September 2025 and was told at the time I was in compliance.

During my intake meeting with the probation officer, I was told after 2 years of probation I could apply for early termination of probation. I would like my probation term terminated and if not granted, then reduced. I am submitting this application as I have corrected my insufficiencies and will continue to while practicing veterinary medicine.

Sincerely,

A handwritten signature in black ink that reads "Cathaleen Canfield DVM". The signature is written in a cursive, flowing style.

Cathaleen Canfield, DVM

Cathaleen Canfield, DVM

725 W. Lemon Ave Unit B
Monrovia, CA 91016
626-372-4571

Professional Experience

Equine Medical Center, Cypress, CA (Dec 2015-present)

Provide general health care for racehorses

Canfield Equine Practice, Inc., Monrovia, CA (May 2005-present)

Provide general health care for racehorses

Don Shields, DVM, Inc., Arcadia CA (June 1997- March 2004)

Provide general health care for racehorses

Education

Michigan State University-College of Veterinary Medicine (1993-1997)

Doctor of Veterinary medicine

California State University-Fresno (1989-1997)

Major: Animal Science

Affiliations

American Association of Equine Practitioners (1997-present)

American Veterinary Medical Association (1997-present)

California Veterinary Medical Association(1997-present)

Cathaleen Canfield, DVM
Veterinarian License #12992
Case No. 4602021001575

Petition for Reinstatement or modification of penalty
Addendum

Continuing Education

Veterinary Bootcamp-med records 8-29-23 10 hrs
Medical records the roadmap to quality care 9-9-24 10 hrs
CVMB Cures webinar 5-6-25 2 hrs
CVMB Misbranded drugs webinar 1-28-25 2 hrs
CVMB What to expect when being inspected 7-27-23 2 hrs
Equine claim process 6-6-23 1 hr
USDA APHIS modules #5 modules 2-2024 5 hrs
USDA APHIS Use of antibiotics in Animals 9-8-23 1 hr
Equine online diagnostic symposium 10-25-24 8 hrs
Decision making and the role of telemedicine 10-8-24 3 hrs
Unravelling the influence of bridles and bits on welfare and performance 10-24-24 3 hrs
Catastrophic injury prevention in TB racehorses 11-6-24 3 hrs
End of life decision making 11-20-24 3 hrs
Hindlimb lameness and diagnostic analgesia 12-18-24 3 hrs
Equine pastern dermatitis 2-19-25 3 hrs
PET clinical applications and case discussions 1-4-25 3 hrs
Ovum pick up and intracytoplasmic sperm injection 4-3-25 3 hrs
Surgical management of neck conditions 4-29-25 3 hrs

Cathaleen Canfield, DVM

Veterinarian License #12992

Case No. 4602021001575

**Petition for Reinstatement or modification of penalty
*Addendum***

Rehabilitation

Veterinary Bootcamp-med records 8-29-23 10 hrs

Medical records the roadmap to quality care 9-9-24 10 hrs

CVMB Cures webinar 5-6-25 2 hrs

CVMB Misbranded drugs webinar 1-28-25 2 hrs

CVMB What to expect when being inspected 7-27-23 2 hrs

Equine claim process 6-6-23 1 hr

I researched and helped implement a new digital practice management software for our practice to comply with the medical records requirements in the California veterinary practice act.



Dr. Karen M. Valko

And
Associates

Equine Medicine and Surgery

334 W. Arrow Hwy - Unit B • San Dimas, CA 91773
(626) 966-0117 • (909) 305-1084 • Fax (909) 305-1091

To whom it may concern:

May 26, 2025

I am writing this letter on behalf of Dr. Cathaleen Canfield, DVM in her application for early termination of her probationary period with the California Veterinary Medical Board.

I have known Dr. Canfield since 1997. During the last 28 years we both spent most of our practice careers simultaneously working on the Southern California Racetrack circuit. This has allowed me to observe her work ethic and skills; as well as her personal and professional integrity over many years and on an integrated level.

I have never observed or heard of any nefarious activity by her, or known her to intentionally disregard rules and regulations. By my own personal observations she has always conducted herself in a professional manner, and sought to practice veterinary medicine to the highest standard possible.

When the ruling by the Board was filed and the disciplinary penalty was subsequently imposed, I spoke with her regarding the details of the situation on numerous occasions. What was expressed and discussed in all of our conversations was not just the disciplinary actions involved; but most importantly her proactive endeavors exploring solutions that could be put into play to correct the deficiencies. This was initiated from the earliest stages of the process following the Board's notification that it was filing disciplinary charges against her.

A corrective measure that I know has been enacted is a new computer software program was purchased, installed, and utilized for the medical records / invoice generation by her that conforms to the detail the Board asks for. Additionally labeling of medication prescriptions, pharmaceutical claims of action, and production of medications are being scrutinized in detail. Additionally, while patient physical observations, examinations, and treatments have always been done in a thorough manner; they are of a more detailed nature now and are being recorded in the medical record as such. These changes have been enacted to correct deficiencies named by the Board. I feel that early termination of her probation is justified as corrective processes have been pursued and employed in an effort to comply with the Board.

I know Dr. Canfield has taken this ruling and action by the Board seriously. She has done her best to take corrective actions and measures to erase deficiencies and shortcomings. What has never been a shortcoming or deficiency is Dr. Canfield's honesty, ethics, competence, and professionalism in the Veterinary Medical profession while I have known her.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Karen M. Valko, DVM

California Veterinary Medical Board
1747 N. Market Blvd., Suite 230
Sacramento, CA 95834-2978
(916) 515-5220

June 28, 2025

To Whom It May Concern:

This letter declares my support for the early termination of probation on the license of Dr. Cathaleen Canfield. The license was placed on probation for 4 years effective June 19, 2023.

I have known and worked with Dr. Canfield for nearly 3 decades. I have been witness to her conduct as a veterinarian from 3 different perspectives: (1) as an employee of one of her clients, (2) as a fellow private practitioner, and (3) as a regulatory veterinarian for the California Horse Racing Board.



Throughout, I have known Dr. Canfield to be a professional and conscientious veterinarian. I have not had cause to question her ethics or integrity. Indeed, she served as a mentor when I first started out as a private practitioner in the early 2000s.

I have been aware of both the accusation and subsequent disciplinary action on Dr. Canfield's license involving violations related to medical record keeping, patient examinations, prescriptions, and VCPR establishment. From the outset of the matter, Dr. Canfield has endeavored to correct the deficiencies identified by the Board.

Concurrent to this, at both state and federal levels, new requirements have been implemented regarding physical examinations and treatment reporting in the racing industry. Dr. Canfield has been diligent about complying with these intricate and sometimes overlapping regulations.

The workplace environment for racetrack veterinary practice can be a stressful and contentious one. In my experience, Dr. Canfield has always conducted herself in a steadfast and principled manner.

I declare under the penalty of perjury under the laws of the State of California that the foregoing is true and correct.


Timothy Grande, DVM MPH
PO Box 250937
Glendale, CA 91225


VETERINARY BOOTCAMP CERTIFICATE OF COMPLETION

Awarded to:

Cathaleen Canfield

For satisfactorily completing the Veterinary Medical Records CE online course., which covers several topics related directly to the subject of medical record keeping as it pertains to the veterinary field for 10 hours of continuing education contact hours.

Completed on August 29, 2023



ABIGAIL KITCHENS, DVM
CEO, Veterinary Bootcamp CE, LCC.

This program 42528 is approved by the AAVSB RACE to offer a total of 10 CE credits. 10 max being available to any one veterinarian. This RACE approval is for the subject matter categories of: Category Three: non Scientific, Practice Management, Professional Development using the delivery method of interactive distance. This approval is valid in jurisdictions which recognize AAVSB RACE; however participants are responsible for ascertaining each board's CE requirements. RACE does not "accredit" or "endorse" or "certify" any program or person, nor does RACE approval validate the content of the program



Cathleen S Canfield, DVM

has completed

Veterinary Medicine: Medical Records the Roadmap to Quality Care

on September 9, 2024

This program 742657 is approved by the AAVSB RACE to offer a total of 10.00 CE Credits (10.00 max) being available to any one veterinarian: and/or 10.00 Veterinary Technician CE Credits (10.00 max).

This RACE approval is for the subject matter categories of: Medical using the delivery method of Interactive Distance. This approval is valid in jurisdictions which recognize AAVSB RACE; however, participants are responsible for ascertaining each board's CE requirements. RACE does not "accredit" or "endorse" or "certify" any program or person, nor does RACE approval validate the content of the program.

Subject Category:
Practice Management

Method of Delivery:
Interactive Online

David Tollon, DVM

David Tollon, DVM, MBA, Founder

Pat Lynch

Pat Lynch, Director of Operations

VetMedTeam, LLC, 2325 SW Dodge Terrace, Port St. Lucie, FL 34953
www.VetMedTeam.com

CALIFORNIA VETERINARY MEDICAL BOARD

Cathaleen Canfield

The above individual has earned 2 hours of continuing education credit
for participating in the California Veterinary Medical Board's

CURES Webinar

on May 6, 2025



1747 N. Market Blvd., Suite 230, Sacramento, CA 95834
Phone: 916-515-5220; Fax: 916-928-6849; Website: www.vmb.ca.gov

CALIFORNIA VETERINARY MEDICAL BOARD

Cathaleen S. Canfield

The above individual has earned 2 hours of continuing education credit
for participating in the California Veterinary Medical Board's

Misbranded Drugs Webinar

on January 28, 2025



1747 N. Market Blvd., Suite 230, Sacramento, CA 95834
Phone: 916-515-5220; Fax: 916-928-6849; Website: www.vmb.ca.gov

VETERINARY MEDICAL BOARD

CATHALEEN CANFIELD

Participant Name

The above individual participated in the Veterinary Medical Board's
Enforcement Webinar: *What to Expect When Being Inspected*
on July 27, 2023
and has earned 2 hour of continuing education credit.



1747 N. Market Blvd., Suite 230, Sacramento, CA 95834
Phone: 916-515-5220; Fax: 916-928-6849; Website: www.vmb.ca.gov

AVMA LIFE® AVMA PLIT®
 Veterinarian Inspired Coverage Protecting you through it all

Certificate of Attendance

Is hereby granted to:

Cathaleen Canfield

License # 12992 State: CA

For attending the Continuing Education lecture:

Equine Claim Process: Medical and Legal Concerns

AVMA Trust / Zurich Insurance Panelists:

Cynthia MacKenzie, DVM
Charlotte O'Neill, BS

Mike Casey, JD
Paige Reagan, BBA

June 6, 2023
 Chicago, IL

Kristi K. Ely LVT

Kristi K. Ely, LVT
 RACE Coordinator

This program has been approved for 1 hour of individual continuing education credit in jurisdictions that recognize American Association of Veterinary State Board (AAVSB) RACE approval; however, participants should be aware that some boards have limitations on the number of hours accepted in certain categories and/or restrictions on certain methods of delivery of continuing education.

RACE Program Category: Non-Medical for Veterinarians & Veterinary Technicians Method of Delivery: Live: Interactive-Distance
 AVMA PLIT CE Broker Provider Tracking # 50-28457 AVMA PLIT CE Broker Course Tracking 20-1038642 RACE Approved CE: 1Hour

USDA-APHIS NATIONAL VETERINARY ACCREDITATION PROGRAM SUPPLEMENTAL TRAINING MODULE COMPLETION CERTIFICATE

Module 25: Using Behavior to Assess Animal Welfare

Content Finalized: May 2015, Revised September 2017

The content in this module has been approved expressly to serve as one unit of supplemental training for participants in USDA's National Veterinary Accreditation Program. Please ensure you retain, (save the file or print) this certificate. This certificate will be your only proof of having completed this module and will need to be provided to the appropriate official should APHIS audit your accreditation supplemental training records in the future. Contact your NVAP Coordinator for more details on accreditation renewal.

Cathaleen

First Name

Canfield

Last Name

710 S. Myrtle Ave #241

Street Address, Apt. #

Monrovia, CA 91015

City, State, ZIP Code

0 0 7 0 8 7

National Accreditation Number (NAN)

I have read the contents of this
web-based supplemental training module

Signature

Cathaleen Canfield

2-28-24

Date of Completion

Indicate the format of the supplemental materials reviewed

☒ Web-based



USDA-APHIS NATIONAL VETERINARY ACCREDITATION PROGRAM SUPPLEMENTAL TRAINING MODULE COMPLETION CERTIFICATE

Module 31: High Impact Equine Diseases in the U.S.

Content Finalized: October, 2019

The content in this module has been approved expressly to serve as one unit of supplemental training for participants in USDA's National Veterinary Accreditation Program. Please ensure you retain, (save the file or print) this certificate. This certificate will be your only proof of having completed this module and will need to be provided to the appropriate official should APHIS audit your accreditation supplemental training records in the future. Contact your NVAP Coordinator for more details on accreditation renewal.

Cathaleen

Canfield

First Name

Last Name

710 S. Mrytle Ave #241

Street Address, Apt. #

Monrovia, CA 91016

City, State, ZIP Code

0 0 7 0 8 7

National Accreditation Number (NAN)

I have read the contents of this
web-based supplemental training module

Signature

2-19-24

Date of Completion

Indicate the format of the supplemental materials reviewed

☒ Web-based



USDA-APHIS NATIONAL VETERINARY ACCREDITATION PROGRAM SUPPLEMENTAL TRAINING MODULE COMPLETION CERTIFICATE

Module 8: International Movement of Horses

Content Finalized: July 2017

The content in this module has been approved expressly to serve as one unit of supplemental training for participants in USDA's National Veterinary Accreditation Program. Please ensure you retain, (save the file or print) this certificate. This certificate will be your only proof of having completed this module and will need to be provided to the appropriate official should APHIS audit your accreditation supplemental training records in the future. Contact your NVAP Coordinator for more details on accreditation renewal.

First Name Cathleen Last Name Capeletti

Street Address, Apt. # 725 Wilman Ave #B

City, State, ZIP Code Memphis CT

007087

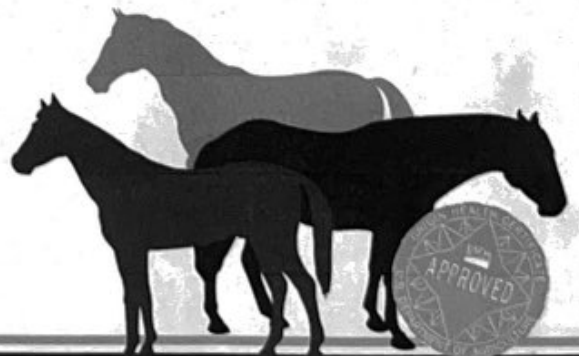
National Accreditation Number (NAN)

I have read the contents of this
web-based supplemental training module

Signature Cathleen Capeletti DVM Date of Completion 2-14-24

Indicate the format of the supplemental materials reviewed

☒ Web-based



USDA-APHIS NATIONAL VETERINARY ACCREDITATION PROGRAM SUPPLEMENTAL TRAINING MODULE COMPLETION CERTIFICATE

Module 10: Personal Protective Equipment for Veterinarians

Content Finalized: January 2012, Revised February 2017

The content in this module has been approved expressly to serve as one unit of supplemental training for participants in USDA's National Veterinary Accreditation Program. Please ensure you retain, (save the file or print) this certificate. This certificate will be your only proof of having completed this module and will need to be provided to the appropriate official should APHIS audit your accreditation supplemental training records in the future. Contact your NVAP Coordinator for more details on accreditation renewal.

Cathaleen

Canfield

First Name

Last Name

725 W. Lemon Ave #B

Street Address, Apt. #

Monrovia, CA 91016

City, State, ZIP Code

0 0 7 0 8 7

National Accreditation Number (NAN)

I have read the contents of this
web-based supplemental training module

Signature

Cathaleen Canfield

2-14-24

Date of Completion

Indicate the format of the supplemental materials reviewed

☒ Web-based



USDA-APHIS NATIONAL VETERINARY ACCREDITATION PROGRAM SUPPLEMENTAL TRAINING MODULE COMPLETION CERTIFICATE

Module 21: Livestock: Fitness to Travel

Content Finalized: January 2018

The content in this module has been approved expressly to serve as one unit of supplemental training for participants in USDA's National Veterinary Accreditation Program. Please ensure you retain, (save the file or print) this certificate. This certificate will be your only proof of having completed this module and will need to be provided to the appropriate official should APHIS audit your accreditation supplemental training records in the future. Contact your NVAP Coordinator for more details on accreditation renewal.

Cathaleen

First Name

Canfield

Last Name

710 S. Myrtle Ave #241

Street Address, Apt. #

Monrovia, CA 91016

City, State, ZIP Code

0 0 7 0 8 7

National Accreditation Number (NAN)

I have read the contents of this
web-based supplemental training module

Signature

Cathaleen Canfield DVM

2-20-24

Date of Completion

Indicate the format of the supplemental materials reviewed

☒ Web-based



USDA-APHIS NATIONAL VETERINARY ACCREDITATION PROGRAM SUPPLEMENTAL TRAINING MODULE COMPLETION CERTIFICATE

Module 23: Use of Antibiotics in Animals

Content Finalized: February 2017, Revised October 2017

The content in this module has been approved expressly to serve as one unit of supplemental training for participants in USDA's National Veterinary Accreditation Program. Please ensure you retain, (save the file or print) this certificate. This certificate will be your only proof of having completed this module and will need to be provided to the appropriate official should APHIS audit your accreditation supplemental training records in the future. Contact your NVAP Coordinator for more details on accreditation renewal.

Cathaleen

Canfield

First Name

Last Name

725 W. Lemon Ave Unit B

Street Address, Apt. #

Monrovia, CA 91016

City, State, ZIP Code

0 0 7 0 8 7

National Accreditation Number (NAN)

I have read the contents of this
web-based supplemental training module

Signature

Cathaleen Canfield DVM

9-8-23

Date of Completion

Indicate the format of the supplemental materials reviewed

☒ Web-based



Certificate of Attendance

Equine Online Diagnostic Symposium – CT, PET Imaging, Scintigraphy & Objective Gait Analysis

Live Online Lecture Series

Speakers:

Kevin Keegan:	DVM, MS, Dipl.ACVS, University Of Missouri, USA
David Lambert:	BVSc.(Hons.), MRCVS, StrideSAFE, USA
Mathieu Spriet:	DVM, MS, Dipl.ACVR, Dipl.ECVDI, Dipl.ACVR-EDI, School Of Veterinary Medicine, UC Davis, USA
Chris Whitton:	BVSc, FANZCVS, PhD, The University Of Melbourne, Australia
Kate Wulster-Bills:	VMD, Dipl.ACVR University Of Pennsylvania, School Of Veterinary Medicine, New Bolton Center, USA

The Equine Diagnostic Symposium brings together leading experts in equine surgery, diagnostic imaging, and research for a comprehensive exploration of cutting-edge diagnostic techniques. This symposium, focusing on CT, Positron Emission Tomography (PET) imaging, Scintigraphy and Objective Gait Analysis technologies, offers a unique opportunity for equine practitioners and professionals to enhance their understanding of equine lameness diagnostics and improve their clinical practices.

Speakers at the symposium include renowned radiologists and imaging specialists Mathieu Spriet and Kate Wulster, distinguished equine surgeon Kevin Keegan, and thoroughbred racehorse expert and researcher Chris Whitton. With their diverse and combined expertise, attendees will gain extensive insights into the latest advancements in equine imaging techniques and lameness diagnostics.

The symposium spans five live-streamed interactive modules, featuring keynote addresses, diagnostic sessions tailored to racehorses and sport horses, panel discussions, and case-discussions.

- **Module 1:** Advances in Equine Diagnostic Imaging – Foundations, General Applications & Indications (120 min)
- **Module 2:** Diagnostics Session – Indications, Decision-Making & Interpretation (120 min)
- **Module 3:** Panel Discussion – Challenges & Opportunities in Equine Imaging (120 min)
- **Module 5:** Case-Based Panel Discussion (CT, PET & Objective Gait Analysis) (120 min)

Total hours of attendance: 8

Cathaleen Canfield

Canterbury (UK), 25th October 2024


VetPD®

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).



Veterinary
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A026

Certificate of Attendance

Abdominal POCUS in Equine Practice: Decision Making & the Role of Telemedicine

Online Panel Discussion - Total hours of attendance: 3 hrs - Live stream attendance and On-Demand (no MCQs)

Panelists:

Harry Carslake

MA, VetMB, PhD, DACVIM, DECEIM, SFHEA, MRCVS - University of Liverpool, UK

Gabriel Manso-Diaz

DVM, MSc., PhD, DECVDI, MRCVS - Universidad Complutense De Madrid, Spain

Cris Navas

LV, PhD, DACVIM - PennVet, New Bolton Center, USA

Moderator:

Victoria South

Vet MB, MA, CertAVP(EM), DECEIM, MRCVS - Consultant, UK

PANEL DISCUSSION DESCRIPTION

Point of care ultrasound (POCUS) is advanced diagnostic ultrasound that is performed and interpreted by the attending clinician as a 'stable-side' test. POCUS is a rapid diagnostic tool that is especially helpful in emergency equine practice. Join us for an in-depth session exploring the range of uses of POCUS in equine practice.

- How does POCUS make a difference to our certainty of a diagnosis in colic?
- How do clinicians work through their protocols?
- In what contexts does POCUS make a difference?
- What can we measure and when is that helpful?

Hear how our international and multidisciplinary panel of experts use ultrasound to navigate challenges in diagnosing abdominal disease; tricks to abdominocentesis, interpreting gas, understanding gastric dimensions, and confirming intestinal perforation.

Cathaleen Canfield, DVM

8th Oct 2024

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).

Veterinary Professional Development - 3 Victoria Rd - Canterbury - Kent - CT1 3SG - UK

A026



Veterinary
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A027

Certificate of Attendance

Bit by Bit: Unravelling the Influence of Bridles and Bits on Welfare & Performance

Online Panel Discussion - Total hours of attendance: 3 hrs - Live stream attendance and On-Demand (no MCQs)

Panelists:

Agneta Egenvall BVMS, PhD, DECVPH, MRCVS - Swedish University of Agricultural Sciences, Sweden
Rachel C. Murray MA, VetMB, MS, PhD, Assoc.ECVDI, DACVS, MRCVS - VetCT, UK
Elke Pollaris MSc, PhD, DEVDC(Eq) - Equine Clinic De Morette, Belgium

Moderator:

Hilary Clayton BVMS, PhD, DACVSMR, FRCVS - Private Consultant, USA

PANEL DISCUSSION DESCRIPTION

Bits and bridles have been used and developed over thousands of years. From bit-related lesions, so-called 'bridle lameness' to the impact on performance, understanding the influence of bits and bridles and having the skillset to identify and troubleshoot issues is essential for the equine vet. Four distinguished clinicians and researchers explore the relationship between bridles and bits with equine performance and welfare. The panel will appraise the evidence base and share their experiences on how issues relating to the bridle or bit can manifest. They will collectively explore when and how to intervene, and how practitioners could apply an evidence-based approach to optimizing performance and welfare. Topics explored will include: •

- Bit-induced lesions: a logical approach to evaluating and decision making for bit adjustment
- The impact of bridle and bit design and / or fit on performance
- Going bitless: what do we know?
- Exploring the tack-rider interface through the relationship between rein tension and kinematics

Cathaleen Canfield, DVM 24-10-2024

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).

Veterinary Professional Development - 3 Victoria Rd - Canterbury - Kent - CT1 3SG - UK

A027



Veterinary
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Certificate of Attendance

Online Learning Center

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A028

Catastrophic Injury Prevention in Thoroughbred Racehorses

Online Panel Discussion - Total hours of attendance: 3 hrs - Live stream attendance and On-Demand (no MCQs)

Panelists:

Bruce Bladon BVM&S, CertEP, DESTS, DECVS, FRCVS - Donnington Grove Veterinary Group, UK
Chris Kawcak DVM, PhD, DACVS - Colorado State University, USA
Mathieu Spriet DVM, MS, DACVR, DECVDI, DACVR-EDI - School of Veterinary Medicine, UC Davis, USA

Moderator:

Chris Whitton BVSc, FANZCVS, PhD - The University Of Melbourne, Australia

PANEL DISCUSSION DESCRIPTION

Catastrophic fractures of horses are a major threat to the racing industry. This has driven racing authorities to explore and, in specific cases, implement methods for managing the risk of horse deaths on racetracks. However, evidence-based practices are challenging to establish and implement. Despite this, the ability to identify pre-existing pathology has driven the use of imaging as an important risk management tool while supporting evidence continues to be gathered.

We have been able to assemble an international panel of experts to address the following critical questions:

- Which racehorses are at risk of catastrophic injury on the track and how do we identify them?
- What is the value of radiography for risk assessment?
- Can computed tomography (CT) determine if a horse is at risk of injury?
- What role does / could MRI and positron emission tomography (PET) play?
- Beyond imaging: Is it possible to determine injury risk through analysis of stride patterns and race performance?
- Reflecting on the Australian injury reduction strategy for specific high-profile races: what have we learnt and how will this inform future approaches to equine welfare in high-stakes racing events?

Cathaleen Canfield, DVM

6-11-2024

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).

Veterinary Professional Development - 3 Victoria Rd - Canterbury - Kent - CT1 3SG - UK

A028



Veterinary
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Online Learning Center
Live-Streams & On-Demand

A029

Certificate of Attendance

End of Life Decision Making

Online Panel Discussion - Total hours of attendance: 3 hrs - Live stream attendance and On-Demand (no MCQs)

Panelists:

Jonathan Anderson

BVM&S, DACVS, MRCVS - Rainbow Equine Hospital, UK

Sarah Freeman

DVM, PhD, DECVS - University of Nottingham, UK

Harry Werner

VMD - Grand Prix Equine, USA

Moderator:

Madeleine Campbell

BVetMed(Hons), MA(Oxon), MA (Keele), PGCertVetEd, PhD, DECAR, DECAWBM (AWSEL), FHEA, FRCVS -

Nottingham University, UK

PANEL DISCUSSION DESCRIPTION

End-of-life decision making is an integral aspect of veterinary medicine. While the process may be straightforward in certain cases to prevent prolonged and unnecessary suffering, determining the most appropriate course of action in end-of-life situations can be challenging. Approaches often vary among veterinarians based on their experience, background, and geographic location, with the latter influenced by societal and cultural factors, professional codes of conduct and rules/legislation. Further, navigating the interests of multiple stakeholders as well as the complexity of ascertaining whether a horse's life is worth living, may lead to misalignment and lack of consensus. In this panel discussion, four handpicked distinguished experts will debate where and why these differences exist, the contributing factors, and share their knowledge, experience and perspectives on this important subject.

Cathaleen Canfield, DVM **20-11-2024**

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).

Veterinary Professional Development - 3 Victoria Rd - Canterbury - Kent - CT1 3SG - UK

A029



Veterinary
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A030

Certificate of Attendance

Hindlimb Lameness & Diagnostic Analgesia: When Horses Don't Read the Rule Book

Online Panel Discussion - Total hours of attendance: 3 hrs - Live stream attendance and On-Demand (no MCQs)

Panelists:

Elizabeth Davidson

BS, DVM, DACVS, DACVSMR - University of Pennsylvania, USA

David Stack

MVB, MSc, DVMS, FHEA, DECVS, MRCVS - Philip Leverhulme Equine Hospital, University of Liverpool, UK

Filip Vandenberghe

DVM, AssocECVDI-LA - Bosdreef, Belgium

Moderator:

Annamaria Nagy

PhD, DACVSMR, DECVSMR - University of Veterinary Medicine Budapest, Hungary

PANEL DISCUSSION DESCRIPTION

In most horses, diagnosing the cause of hindlimb lameness is straightforward; lameness is abolished by diagnostic analgesia and diagnostic imaging reveals significant pathology. However, not all horses read the rule book and reaching a conclusive diagnosis in these cases can be a real challenge even to the most experienced clinician. Topics that will be debated by an international panel of experts, consisting of lameness clinicians and radiologists, include:

- Unexpected response to diagnostic analgesia: lameness that does not improve or gets worse after diagnostic analgesia
- How to deal with the dangerous horse?
- Approach to multi-limb lameness
- The role of advanced diagnostic imaging in diagnosing challenging hindlimb lameness
- What shall we do when the diagnostic imaging results don't match clinical findings and the results of diagnostic analgesia?
- When the cause of hindlimb lameness is not in the hindlimb

Cathaleen Canfield, DVM 18-12-2024

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).

Veterinary Professional Development - 3 Victoria Rd - Canterbury - Kent - CT1 3SG - UK

A030



Veterinary
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Online Learning Center
Live-Streams & On-Demand

A031

Certificate of Attendance

Equine Pastern Dermatitis: Expert Insights into a Common Clinical Conundrum

Online Panel Discussion - Total hours of attendance: 3 hrs - Live stream attendance and On-Demand (not RACE)

Panelists:

Vince Gerber

Dr.Med.Vet., PhD, DACVIM, DECEIM, FVH - Swiss Institute of Equine Medicine, Switzerland

Rosanna Marsella

DVM, DACVD - University of Florida, USA

Kieren O'Brien

MA, MVB, PhD, MRCVS - UK

Moderator:

John Hutt

BVSc (Hons), BA (Hons), MA (CW), CertVD, MANZCVS, DACVD - Dermatology for Animals, Australia

PANEL DISCUSSION DESCRIPTION

Equine Pastern dermatitis (EPD) is a non-specific cutaneous reaction pattern affecting the pastern region. Although common, it can be a debilitating condition and pose a real challenge to even experienced veterinarians. The underlying causes of EPD may be many and varied and in some cases multiple aetiologies combine to create a confusing clinical picture. This international panel of experts will explore the different forms of EPD, risk factors, and compare their experience and knowledge of therapeutic approaches.

Cathaleen Canfield, DVM **19-2-2025**

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).

Veterinary Professional Development - 3 Victoria Rd - Canterbury - Kent - CT1 3SG - UK

A031



Veterinary
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Live-Streams & On-Demand

A032

Certificate of Attendance

Positron Emission Tomography (PET): Clinical Applications & Case Discussions

Online Panel Discussion - Total hours of attendance: 3 hrs - Live stream attendance and On-Demand (not RACE)

Panelists:

Carter Judy DVM, DACVS - UC Davis, USA
Kyla Ortved DVM, PhD, DACVS, DACVSMR - University of Pennsylvania, USA
Natasha Werpy DVM, DACVR - Ocala Equine Hospital, USA

Moderator:

Mathieu Spriet DVM, MS, DACVR, DECVDI, DACVR-EDI - School of Veterinary Medicine, UC Davis, USA

PANEL DISCUSSION DESCRIPTION

This international panel will bring together the world's leading experts in equine Positron Emission Tomography (PET) to explore its growing role in musculoskeletal imaging for racehorses and sports horses. As technological advancements improve access to PET, the panel will discuss both the advantages and limitations of the modality. They will debate optimal scenarios for PET application, shedding light on its clinical utility. Attendees will gain insight into the latest research and practical considerations. This session is essential for those looking to expand their knowledge of cutting-edge imaging techniques in equine medicine.

Cathaleen Canfield, DVM 1-4-2025

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).

A032



Veterinary
Professional
Development

Online Learning Center
Live-Streams & On-Demand

A033

Certificate of Attendance

Assisted Reproduction: Ovum Pick-Up (OPU) & Intracytoplasmic Sperm Injection (ICSI)

Online Panel Discussion - Total hours of attendance: 3 hrs - On-Demand (not RACE)

Panelists:

Anthony Claes	DVM, PhD, DACT - Utrecht University, The Netherlands
Ryan Ferris	DVM, MS, DACT - Summit Equine, USA
Lee Morris	BVSc, DVSc, DACT - EquiBreed ART, New Zealand

PANEL DISCUSSION DESCRIPTION

The use of assisted reproductive techniques has, and continues, to increase in equine breeding. These technologies may reduce wastage, with increased progeny and the potential for genetic improvement.

This panel discussion will provide a truly unique, global insight into the clinical use of ICSI and OPU, with the worlds' leading minds. As well as sharing their personal knowledge and case experiences, with panellists encouraged to share their failures as well as successes, this group will appraise the current knowledge base, discuss the potential future of assisted reproduction in equine breeding across the world and at practice level, and contextualise these techniques with responsible breeding practices.

With audience participation highly encouraged, this interactive panel discussion will be a key event for veterinarians worldwide with an interest in equine breeding.

Cathaleen Canfield, DVM

4-3-2025

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).

A033

Certificate of Attendance

Modern Perspectives on the Surgical Management of Neck Conditions

Online Panel Discussion - Total hours of attendance: 3 hrs

Moderator:

Jonathan Anderson BVM&S, DACVS, MRCVS - Rainbow Equine Hospital, UK

Panelists:

Jan-Hein Swagemakers DVM, Dr.med.vet - Tierklinik Lusche, Germany

Nick Huggons DVM, DACVS - San Luis Ray Equine Hospital, USA

Brett Woodie DVM, MS, DACVS - Rood & Riddle Equine Hospital, USA

Panel Discussion Description:

This relaxed and interactive panel discussion brings together four highly-acclaimed leading surgeons from around the world who will explore and debate new surgical techniques and the latest understanding for the surgical management of conditions of the equine neck. Further, case selection, reported outcomes and how these surgeons approach ongoing management will be discussed, and illustrated through the sharing of cases, including failures as well as successes. Attendees are encouraged to ask questions, share their own challenging scenarios, and post their comments.



**Cathaleen
Canfield, DVM**

29-4-2025

has attended this lecture live or successfully passed this module on-demand, achieving a score above the 70% threshold.

(For value in CPD Points please refer to the rules of your local Veterinary Registration Board).

**BEFORE THE
VETERINARY MEDICAL BOARD
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA**

In the Matter of the Accusation Against:

Cathaleen Canfield, DVM,

Veterinarian License No. VET 12992,

and

Canfield Equine Practice, Inc.,

Premises Registration No. HSP 6058,

Respondents

Case No. 4602021001575

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Veterinary Medical Board, Department of Consumer Affairs, State of California, as its Decision in this matter.

This Decision shall become effective on June 19, 2023.

It is so ORDERED May 19, 2023.

Signature on file

Christina Bradbury, DVM, President
VETERINARY MEDICAL BOARD
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

1 ROB BONTA
 Attorney General of California
 2 THOMAS L. RINALDI
 Supervising Deputy Attorney General
 3 MICHAEL YI
 Deputy Attorney General
 4 State Bar No. 217174
 ELAINE YAN
 5 Deputy Attorney General
 State Bar No. 277961
 6 300 So. Spring Street, Suite 1702
 Los Angeles, CA 90013
 7 Telephone: (213) 269-6483
 Facsimile: (916) 731-2126
 8 E-mail: Michael.Yi@doj.ca.gov
Attorneys for Complainant
 9

10 **BEFORE THE**
VETERINARY MEDICAL BOARD
 11 **DEPARTMENT OF CONSUMER AFFAIRS**
 12 **STATE OF CALIFORNIA**
 13

14 In the Matter of the Accusation Against:

Case No. 4602021001575

15 **CATHALEEN CANFIELD**
710 S. Myrtle Avenue, #241
 16 **Monrovia, CA 91016**

STIPULATED SETTLEMENT AND
DISCIPLINARY ORDER

17 **Veterinarian License No. VET 12992**

18 **and**

19 **CANFIELD EQUINE PRACTICE, INC.**
725 W. Lemon Avenue, Apt. B
 20 **Monrovia, CA 91016**

21 **Premises Registration No. HSP 6058,**

22 Respondents.

1 IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-
2 entitled proceedings that the following matters are true:

3 **PARTIES**

4 1. Jessica Sieferman (Complainant) is the Executive Officer of the Veterinary Medical
5 Board (Board). She brought this action solely in her official capacity and is represented in this
6 matter by Rob Bonta, Attorney General of the State of California, by Michael Yi and Elaine Yan,
7 Deputy Attorneys General.

8 2. Respondents Cathaleen Canfield (Respondent Canfield) and Canfield Equine
9 Practice, Inc. are represented in this proceeding by attorneys Lisa J. Brown and George M.
10 Wallace, whose address is: 215 North Marengo Avenue, Third Floor, Pasadena, CA 91101-1504.

11 3. On June 13, 1997, the Board issued Veterinarian License Number VET 12992 to
12 Respondent Canfield. The Veterinarian License was in full force and effect at all times relevant
13 to the charges brought in Accusation number 4602021001575, and will expire on January 31,
14 2024, unless renewed.

15 4. On June 15, 2005, the Board issued Premises Registration Number HSP 6058 to
16 Respondent Canfield, as managing licensee of the premises, Canfield Equine Practice, Inc. The
17 Premises Registration was in full force and effect at all times relevant to the charges brought in
18 Accusation number 4602021001575, and will expire on May 31, 2023, unless renewed.

19 **JURISDICTION**

20 5. Accusation number 4602021001575 was filed before the Board, and is currently
21 pending against Respondents. The Accusation and all other statutorily required documents were
22 properly served on Respondents on May 24, 2022. Respondents timely filed their Notice of
23 Defense contesting the Accusation.

24 6. A copy of Accusation number 4602021001575 is attached as exhibit A and
25 incorporated herein by reference.

26 ///

27 ///

28 ///

ADVISEMENT AND WAIVERS

7. Respondents have carefully read, fully discussed with counsel, and understand the charges and allegations in Accusation number 4602021001575. Respondents have also carefully read, fully discussed with counsel, and understand the effects of this Stipulated Settlement and Disciplinary Order.

8. Respondents are fully aware of their legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against them; the right to present evidence and to testify on their own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

9. Respondents voluntarily, knowingly, and intelligently waive and give up each and every right set forth above.

CULPABILITY

10. Respondents understand and agree that the charges and allegations in Accusation number 4602021001575, if proven at a hearing, constitute cause for imposing discipline upon their Veterinarian License and Premises Registration.

11. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondents agree that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation, and that Respondents hereby give up their right to contest those charges.

12. Respondents agrees that their Veterinarian License and Premises Registration are subject to discipline and they agree to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

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CONTINGENCY

13. This stipulation shall be subject to approval by the Veterinary Medical Board. Respondents understand and agree that counsel for Complainant and the staff of the Veterinary Medical Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondents or their counsel. By signing the stipulation, Respondents understand and agree that they may not withdraw their agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

14. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.

15. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

16. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

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DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Veterinarian License Number VET 12992 and Premises Registration Number HSP 6058 issued to Respondent Canfield are revoked. However, the revocation is stayed and Respondents are placed on probation for four (4) years on the following terms and conditions:

1. Obey All Laws

Respondent Canfield shall obey all federal and state laws and regulations substantially related to the practice of veterinary medicine. Within thirty (30) days of any arrest, Respondent Canfield shall notify the Board. Within thirty (30) days of any conviction, Respondent Canfield shall report to the Board and provide proof of compliance with the terms and conditions of the court order including, but not limited to, probation and restitution requirements. Obey all laws shall not be tolled.

2. Quarterly Reports

Respondent Canfield shall report quarterly to the Board or its designee, under penalty of perjury, on forms provided by the Board, stating whether there has been compliance with all terms and conditions of probation. Omission or falsification in any manner of any information on these reports shall constitute a violation of probation and shall result in the filing of an accusation and/or a petition to revoke probation against Respondents' license registration or permit. If the final written quarterly report is not made as directed, the period of probation shall be extended until the final report is received by the Board. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation.

3. Interview with the Board

Within 30 days off the effective date of the Decision, Respondent Canfield shall appear in person for an interview with the Board or its designee to review the terms and conditions of probation. Thereafter, Respondent Canfield shall, upon reasonable request, report or appear in person as directed. Interview with the Board shall not be tolled.

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4. Cooperation with Board Staff

Respondents shall cooperate with the Board's inspection program and with the Board's monitoring and investigation of Respondents' compliance with the terms and conditions of Respondents' probation. Respondents may receive the assistance of private counsel, but Respondents shall communicate directly with the Board or its designee, and written communications from the Board will only be sent to the Respondents. Respondents shall make available all patient records, hospital records, books, logs, and other documents relating to the practice of veterinary medicine to the Board, upon request.

Respondents shall claim all certified mail issued by the Board, respond in a timely manner to all notices and requests for information, and submit Reports, Identification Update reports or other reports similar in nature, as requested and directed by the Board or its designee. Respondents are encouraged to contact the Board's probation monitoring program representative at any time Respondents have a question or concern regarding the terms and conditions of Respondents' probation.

5. Probation Monitoring Costs

Probation monitoring costs are set at a rate of \$100 per month for the duration of the probation. These costs shall be payable to the Board on a schedule as directed by the Board or its designee.

6. Changes of Employment or Address

Respondents shall notify the Board, and appointed probation monitor in writing, of any and all changes of employment, location, and address within fourteen (14) calendar days of such change. This includes, but is not limited to, termination or resignation from employment, change in employment status, and change in supervisors, administrators or directors. Respondents shall also notify Respondents' probation monitor AND the Board IN WRITING of any changes of residence or mailing address within fourteen (14) calendar days. P.O. Boxes are accepted for mailing purposes; however, Respondents must also provide Respondents' physical residence address as well.

1 **7. No Supervision of Students, Interns, or Residents**

2 Respondent Canfield shall not supervise students, interns, or residents.

3 **8. Notice to Employers**

4 During the period of probation, Respondent Canfield shall notify all present and
5 prospective employers of this Decision and the terms, conditions, and restrictions imposed on
6 Respondents by this Decision, as follows:

7 Within thirty (30) days of the effective date of this Decision and within fifteen (15) days of
8 Respondent Canfield undertaking any new employment, Respondent Canfield shall cause
9 Respondent Canfield's supervisor and/or managing licensee (licensee manager) to report to the
10 Board in writing, acknowledging that the listed individual(s) has/have read this Decision,
11 including the terms conditions, and restrictions imposed. It shall be Respondent Canfield's
12 responsibility to ensure that Respondent Canfield's supervisor and/or licensee manager submit
13 timely acknowledgment(s) to the Board.

14 If Respondent Canfield provides veterinary services as a relief veterinarian, Respondent
15 Canfield shall notify each individual or entity with whom Respondent Canfield is employed or
16 contracted and require the supervisor and/or licensee manager to submit to the Board timely
17 acknowledgment of receipt of the notice.

18 **9. Notice to Employees**

19 Throughout the probationary period, and in a manner that is visible to all licensed,
20 registered, or permitted veterinary employees at the veterinary premises, Respondents shall post a
21 notice provided or approved by the Board, that recites the violations for which Respondents have
22 been disciplined and the terms and conditions of probation.

23 **10. Tolling of Probation**

24 Respondent Canfield shall notify the Board or its designee in writing within fifteen (15)
25 calendar days of any periods of non-practice lasting more than thirty (30) calendar days and shall
26 notify the Board or its designee within fifteen (15) calendar days of Respondent Canfield's return
27 to practice. Any period of non-practice will result in the Respondent Canfield's probation being
28 tolled.

Non-practice is defined as any period of time exceeding thirty (30) calendar days in which Respondent Canfield is not engaging in the practice of veterinary medicine in California.

It shall be considered a violation of probation if Respondent Canfield's periods of temporary or permanent residence or practice outside California total two years. However, it shall not be considered a violation of probation if Respondent Canfield is residing and practicing in another state of the United States and is on active probation with the licensing authority of that state, in which case the two-year tolling limitation period shall begin on the date probation is completed or terminated in that state.

The following terms and conditions, if required, shall not be tolled:

- Obey All Laws
- Interview with the Board
- Tolling of Probation
- Maintain a Current and Active License
- Cost Recovery

Non-practice is also defined as any period that Respondent Canfield fails to engage in the practice of veterinary medicine in California for a minimum of 24 hours per week for the duration of probation (except reasonable time away from work for vacations, illnesses, etc.) or as determined by the Board. While tolled for not meeting the hourly requirement, Respondent Canfield shall comply with all terms and conditions of this Decision.

Any period of tolling will not apply to the reduction of the probationary term.

11. Maintain a Current and Active License

At all times while on probation, Respondent Canfield shall maintain a current and active license with the Board. Maintain a current and active license shall not be tolled.

12. Violation of Probation

If Respondents violate probation in any respect, the Board, after giving Respondents notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against Respondents during probation, or if the Attorney General's office has been requested to prepare any disciplinary action against Respondents' license, the Board shall have continuing jurisdiction until the matter

1 is final, and the period of probation shall be extended until the matter is final. No petition for
2 modification or termination of probation shall be considered while there is an accusation or
3 petition to revoke probation pending against Respondents.

4 13. License Surrender While on Probation/Suspension

5 Following the effective date of this Decision, should Respondent Canfield cease to practice
6 veterinary medicine due to retirement or health issues, or be otherwise unable to satisfy the terms
7 and conditions of probation, Respondent Canfield may tender her license to practice veterinary
8 medicine to the Board for surrender. The Board or its designee has the discretion to grant the
9 request for surrender or to take any other action it deems appropriate and reasonable. Upon
10 formal acceptance of the license surrender, Respondent Canfield will no longer be subject to the
11 terms and conditions of probation. The surrender constitutes a record of discipline and shall
12 become a part of the Respondent Canfield's license history with the Board.

13 Respondent Canfield must relinquish her license to the Board within ten (10) days of
14 receiving notification from the Board that the surrender has been accepted.

15 14. Completion of Probation

16 All costs for probation monitoring and/or mandatory premises inspections shall be borne by
17 Respondents. Failure to pay all costs due shall result in an extension of probation until the matter
18 is resolved and costs paid or a petition to revoke probation is filed. Upon successful completion
19 of probation and all payment of fees due, Respondents' license or permit will be fully restored.

20 15. Cost Recovery

21 Pursuant to Section 125.3 of the California Business and Professions Code, within thirty
22 (30) days of the effective date of this Decision, Respondents shall pay to the Board its
23 enforcement costs including investigation and prosecution, in the amount of \$13,250.66, which
24 may be paid over time in accordance with a Board approved payment plan, within six (6) months
25 before the end of the probation term. Cost recovery shall not be tolled.

26 16. Inspections

27 If Respondent Canfield is the owner or managing licensee of a veterinary premises, the
28 following probationary conditions apply:

1 (A) The location or mobile veterinary practice shall hold a current premises registration
2 issued by the Board, and Respondent Canfield shall make the practice or location available for
3 inspections by a Board representative to determine whether the location or veterinary practice
4 meets minimum standards for a veterinary premises. The inspections will be conducted on an
5 announced or unannounced basis and shall be held during normal business hours. The Board
6 reserves the right to conduct these inspections on at least a quarterly basis during probation.
7 Respondent Canfield shall pay the Board for the cost of each inspection, which is \$500.

8 (B) As a condition precedent to any premises registration issued to Respondent Canfield as
9 Owner or managing licensee, the location or mobile veterinary practice for which application is
10 made shall be inspected by a Board representative to determine whether the location or mobile
11 veterinary practice meets minimum standards for a veterinary premises. Respondent Canfield
12 shall submit to the Board, along with any premises registration application, a \$500 inspection fee.

13 17. Medical Records Review

14 Within 30 calendar days of the effective date of this Decision, Respondent Canfield shall
15 provide to the Board or its designee the name and qualifications of one or more proposed
16 reviewer of the medical records of animal patients created and maintained by Respondents. If
17 Respondent Canfield's terms of probation include Supervised Practice, that supervisor also may
18 serve as the medical records reviewer. Each reviewer shall be a licensed veterinarian in
19 California, have held a valid California license for at least five (5) years, and have never been
20 subject to any disciplinary action by the Board.

21 The Board or its designee shall provide the approved reviewer with copies of this Decision.
22 Within fifteen (15) calendar days of the reviewer's receipt of this Decision, the reviewer shall
23 sign an affirmation that they have reviewed the terms and conditions of this Decision and fully
24 understands the role of the reviewer.

25 If Respondent Canfield fails to obtain the Board's or its designee's approval of a reviewer
26 within sixty (60) calendar days of the effective date of this Decision, Respondent Canfield shall
27 receive a notification from the Board or its designee to cease practicing veterinary medicine
28 within three (3) calendar days after being notified. Respondent Canfield shall cease practice until

a reviewer is approved to provide medical records review services.

The reviewer shall review a minimum of ten percent (10%) of Respondents' animal patient medical records or fifteen (15) records (whichever is greater), a minimum of twelve (12) times per year. The reviewer shall determine the method of random selection of medical records to review and shall access the medical records directly from where the medical records are being kept. Review of Respondents' medical records shall not be delegated to Respondents or Respondents' staff. The reviewer's random selection of medical records shall include medical records that correlate to the animal patient care issues or other issues identified in the disciplinary action that resulted in this Decision. Respondents are required to make all animal patient medical records available for immediate inspection and copying by the reviewer at all times during business hours. The reviewer shall immediately notify the Board's Probation Monitor if Respondents fail or refuse to make the medical records available for inspection and/or copying.

Any potential costs associated with conducting the medical records review shall be borne by Respondents.

The reviewer shall evaluate the medical records to assess 1) The medical necessity and appropriateness of Respondents' treatment; 2) Respondents' compliance with minimum standards of practice in the diagnosis and treatment of animal patients; 3) Respondents' maintenance of necessary and appropriate treatment; 4) Respondents' maintenance of necessary and appropriate records and chart entries; and 5) Respondents' compliance with existing statutes and regulations governing the practice of veterinary medicine.

The reviewer shall submit quarterly reports to the Board on a form designated by the Board. The reports shall be submitted by the reviewer directly to the Board's Probation Monitor within seven (7) calendar days after the end of the preceding quarter. The quarterly reporting periods and due dates are as follows:

Reporting Time Period	Due No Later Than
January 1 to March 31 (Quarter I)	April 7
April 1 to June 30 (Quarter II)	July 7
July 1 to September 30 (Quarter III)	October 7
October 1 to December 31 (Quarter IV)	January 7

18. Continuing Education

Within sixty (60) days of the effective date of this Decision, and on an annual basis thereafter, Respondent Canfield shall submit to the Board for its prior approval, an educational program or course related to recordkeeping which shall not be less than 8 hours per year, for each year of probation. Upon successful completion of the course, Respondent Canfield shall provide proof to the Board. This program shall be in addition to the Continuing Education required of all licensees for licensure renewal. All costs shall be borne by Respondent Canfield.

19. **Fine**

Respondent Canfield shall pay to the Board a fine in the amount of \$3,000 pursuant to Business and Professions Code sections 4875 and 4883. The fine may be paid in accordance with a Board approved payment plan, but must fully paid six (6) months before the end of the probation term.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney Lisa J. Brown. I understand the stipulation and the effect it will have on my Veterinarian License and Premises Registration. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Veterinary Medical Board.

DATED:

Signature on file

CATHALEEN CANFIELD
Respondent

I have read and fully discussed with Respondent Cathaleen Canfield the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED:

Signature on file

LISA J. BROWN
Attorney for Respondent

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Veterinary Medical Board.

DATED: April 7, 2023

Respectfully submitted,

ROB BONTA
Attorney General of California
THOMAS L. RINALDI
Supervising Deputy Attorney General
ELAINE YAN
Deputy Attorney General

Signature on file

MICHAEL YI
Deputy Attorney General
Attorneys for Complainant

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Exhibit A

Accusation No. 4602021001575

1 ROB BONTA
 Attorney General of California
 2 KIM KASRELIOVICH
 Supervising Deputy Attorney General
 3 MICHAEL YI
 Deputy Attorney General
 4 State Bar No. 217174
 ELAINE YAN
 5 Deputy Attorney General
 State Bar No. 277961
 6 300 So. Spring Street, Suite 1702
 Los Angeles, CA 90013
 7 Telephone: (213) 269-6483
 Facsimile: (916) 731-2126
 8 E-mail: Michael.Yi@doj.ca.gov
Attorneys for Complainant
 9

10 **BEFORE THE**
VETERINARY MEDICAL BOARD
 11 **DEPARTMENT OF CONSUMER AFFAIRS**
 12 **STATE OF CALIFORNIA**
 13

14 In the Matter of the Accusation Against:

Case No. 4602021001575

15 **CATHALEEN CANFIELD**
 710 S. Myrtle Avenue, #241
 16 Monrovia, CA 91016

ACCUSATION

17 Veterinarian License No. VET 12992

18 **and**

19 **CANFIELD EQUINE PRACTICE, INC.,**
 725 W. Lemon Avenue, Apt. B
 20 Monrovia, CA 91016

21 Premises Registration No. HSP 6058,

22 Respondents.

23 **PARTIES**

24
 25 1. Jessica Sieferman (Complainant) brings this Accusation solely in her official capacity
 26 as the Executive Officer of the Veterinary Medical Board (Board), Department of Consumer
 27 Affairs, State of California.

28 ///

2. On or about June 13, 1997, the Board issued Veterinarian License Number VET 12992 to Cathaleen Canfield (Respondent Canfield). The Veterinarian License was in full force and effect at all times relevant to the charges brought in this Accusation and will expire on January 31, 2024, unless renewed.

3. On or about June 15, 2005, the Board issued Premises Registration Number HSP 6058 to Canfield Equine Practice, Inc. (Respondent CEP). The Premises Registration was in full force and effect at all times relevant to the charges brought in this Accusation and will expire on May 31, 2022, unless renewed.

JURISDICTION

4. This Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.

5. Section 118, subdivision (b), provides that the suspension, expiration, surrender, or cancellation of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary action during the period within which the license may be renewed, restored, reissued or reinstated.

6. Section 4853.6 provides, in relevant part, that the Board shall withhold, suspend or revoke registration of veterinary premises when the license of the licensee manager to practice veterinary medicine is revoked or suspended.

7. Section 4875 provides, in relevant part, that the Board of may revoke or suspend the license of any person to practice veterinary medicine, or any branch thereof, in this state for any causes provided in the Veterinary Medicine Practice Act (Bus. & Prof. Code, § 4800, et seq.). In addition, the Board has the authority to assess a fine not in excess of \$5,000 against a licensee for any of the causes specified in section 4883. Such fine may be assessed in lieu of, or in addition to, a suspension or revocation.

STATUTORY PROVISIONS

8. Section 4170 provides, in relevant part:

(a) No prescriber shall dispense drugs or dangerous devices to patients in his or her office or place of practice unless all of the following conditions are met:

....

(2) The dangerous drugs or dangerous devices are necessary in the treatment of the condition for which the prescriber is attending the patient.

9. Section 4829.5 states:

(a) Each time a veterinarian initially prescribes, dispenses, or furnishes a dangerous drug, as defined in Section 4022, to an animal patient in an outpatient setting, the veterinarian shall offer to provide, in person or through electronic means, to the client responsible for the animal, or his or her agent, a consultation that includes the following information:

(1) The name and description of the dangerous drug.

(2) Route of administration, dosage form, dosage, duration of drug therapy, the duration of the effects of the drug, and the common severe adverse effects associated with the use of a short-acting or long-acting drug.

(3) Any special directions for proper use and storage.

(4) Actions to be taken in the event of a missed dose.

(5) If available, precautions and relevant warnings provided by the drug's manufacturer, including common severe adverse effects of the drug.

(b) If requested, a veterinarian shall provide drug documentation, if available.

(c) A veterinarian may delegate to a registered veterinary technician or veterinary assistant the task of providing the consultation and drug documentation required by this section.

(d) It shall be noted in the medical record of the animal patient if the consultation described in this section is provided or declined by the client or his or her agent.

10. Section 4855 states:

A veterinarian subject to the provisions of this chapter [the Veterinary Medicine Practice Act] shall, as required by regulation of the board, keep a written record of all animals receiving veterinary services, and provide a summary of that record to the owner of animals receiving veterinary services, when requested. The minimum amount of information which shall be included in written records and summaries shall be established by the board. The minimum duration of time for which a licensed premise shall retain the written record or a complete copy of the written record shall be determined by the board.

11. Section 4883 states, in relevant part:

The board may deny, revoke, or suspend a license or assess a fine as provided in Section 4875 for any of the following:

...

(c) Violation or attempting to violate, directly or indirectly, any of the provisions of this chapter [the Veterinary Medicine Practice Act].

...

(g) Unprofessional conduct, that includes, but is not limited to, the following:

...

(3) A violation of any federal statute, rule, or regulation or any of the statutes, rules, or regulations of this state regulating dangerous drugs or controlled substances.

...

(i) Fraud, deception, negligence, or incompetence in the practice of veterinary medicine.

...

(o) Violation, or the assisting or abetting violation, of any regulations adopted by the board pursuant to this chapter [the Veterinary Medicine Practice Act].

12. California Health and Safety Code section 111440 states: "It is unlawful for any person to manufacture, sell, deliver, hold, or offer for sale any drug or device that is misbranded."

13. California Health and Safety Code section 111450 states: "It is unlawful for any person to receive in commerce any drug or device that is misbranded or to deliver or proffer for delivery any drug or device."

REGULATORY PROVISIONS

14. California Code of Regulations (CCR), title 4, section 1867, subsection (b) prohibits the possession and/or use on the premises of a facility under the jurisdiction of the California Horse Racing Board of any drug, substance or medication by a veterinarian that has not been approved by the United States Food and Drug Administration (FDA) for use in the United States.

15. CCR, title 16, section 2032.1, states:

(a) It is unprofessional conduct for a veterinarian to administer, prescribe, dispense or furnish a drug, medicine, appliance, or treatment of whatever nature for the prevention, cure, or relief of a wound, fracture or bodily injury or disease of an animal without having first established a veterinarian-client-patient relationship with the animal patient or patients and the client, except where the patient is a wild animal or the owner is unknown.

(b) A veterinarian-client-patient relationship shall be established by the following:

(1) The client has authorized the veterinarian to assume responsibility for making medical judgments regarding the health of the animal, including the need for medical treatment,

(2) The veterinarian has sufficient knowledge of the animal(s) to initiate at least a general or preliminary diagnosis of the medical condition of the animal(s). This means that the veterinarian is personally acquainted with the care of the animal(s) by virtue of an examination of the animal or by medically appropriate and timely visits to the premises where the animals are kept, and

(3) The veterinarian has assumed responsibility for making medical judgments regarding the health of the animal and has communicated with the client a course of treatment appropriate to the circumstance.

(c) A drug shall not be prescribed for a duration inconsistent with the medical condition of the animal(s) or type of drug prescribed. The veterinarian shall not prescribe a drug for a duration longer than one year from the date the veterinarian examined the animal(s) and prescribed the drug.

(d) As used herein, "drug" shall mean any controlled substance, as defined by Section 4021 of the code, and any dangerous drug, as defined by Section 4022 of the code.

(e) No person may practice veterinary medicine in this state except within the context of a veterinarian-client-patient relationship or as otherwise permitted by law. A veterinarian-client-patient relationship cannot be established solely by telephonic or electronic means.

16. CCR, title 16, section 2032.3, states:

(a) Every veterinarian performing any act requiring a license pursuant to the provisions of Chapter 11, Division 2, of the code, upon any animal or group of animals shall prepare a legible, written or computer generated record concerning the animal or animals which shall contain the following information:

(1) Name or initials of the person responsible for entries.

(2) Name, address and phone number of the client.

(3) Name or identity of the animal, herd or flock.

(4) Except for herds or flocks, age, sex, breed, species, and color of the animal.

(5) Dates (beginning and ending) of custody of the animal, if applicable.

(6) A history or pertinent information as it pertains to each animal, herd, or flock's medical status.

(7) Data, including that obtained by instrumentation, from the physical

examination.

(8) Treatment and intended treatment plan, including medications, dosages, route of administration, and frequency of use.

(9) Records for surgical procedures shall include a description of the procedure, the name of the surgeon, the type of sedative/anesthetic agents used, their route of administration, and their strength if available in more than one strength.

(10) Diagnosis or assessment prior to performing a treatment or procedure.

(11) If relevant, a prognosis of the animal's condition.

(12) All medications and treatments prescribed and dispensed, including strength, dosage, route of administration, quantity, and frequency.

(13) Daily progress, if relevant, and disposition of the case.

17. CCR, title 16, section 2032.35, states, "Altering or modifying the medical record of any animal, with fraudulent intent, or creating any false medical record, with fraudulent intent, constitutes unprofessional conduct in accordance with Business and Professions Code section 4883(g)."

18. CCR, title 16, section 2032.4, states:

(a) General anesthesia is a condition caused by the administration of a drug or combination of drugs sufficient to produce a state of unconsciousness or dissociation and blocked response to a given pain or alarming stimulus.

(b) When administering general anesthesia, a veterinarian shall comply with the following standards:

(1) Within twelve (12) hours prior to the administration of a general anesthetic, the animal patient shall be given a physical examination by a licensed veterinarian appropriate for the procedure. The results of the physical examination shall be documented in the animal patient's medical records.

. . . .

(6) Records for procedures involving general anesthesia shall include a description of the procedure, the name of the surgeon, the type of sedative and/or anesthetic agents used, their route of administration, and their strength if available in more than one strength.

COST RECOVERY

19. Section 125.3 provides, in relevant part, that a Board may request the administrative law judge to direct a licensee found to have committed a violation or violations of the licensing

act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

DRUG CLASSIFICATIONS

20. *Acepromazine*, commonly known as Ace, is a tranquilizer used in horses. Available in tablet, powder, and injectable forms. In the liquid or paste forms it must be compounded. Acepromazine is restricted for use by or on the order of a licensed veterinarian and is a dangerous drug pursuant to Code section 4022.

21. *Adequan*, see polysulfated glycosaminoglycan.

22. *Banamine*, see flunixin meglumine.

23. *Baytril*, see enrofloxacin.

24. BC2A, *Branched chain amino acids*, commonly known as BC2A paste, is marketed to support horse health and performance, including joints, muscles, hooves, skin and coat, mane and tail, and digestion. It is not a dangerous drug as defined by section 4022.

25. *Bute*, see phenylbutazone.

26. *Butorphanol tartrate*, sold under the brand name Torbugesic, is a narcotic used for horses to treat pain. Butorphanol tartrate is restricted for use by or on the order of a licensed veterinarian and is a dangerous drug pursuant to section 4022 and a Schedule IV controlled substance pursuant to Health and Safety Code section 11057, subdivision (c)(3). *Detomidine hydrochloride* (below) and *butorphanol tartrate* may be used in combination to sedate equine patients and is commonly abbreviated as Dorm/Torb.

27. *Caco Copper*, also known as *Western Caco Copper*, is a compounded injectable containing iron used as a blood builder or hematinic. It is a dangerous drug pursuant to Code section 4022.

28. *Detomidine hydrochloride*, sold under the tradename Dormosedan, is a sedative used for horses. Detomidine hydrochloride is restricted for use by or on the order of a licensed veterinarian and is a dangerous drug pursuant to section 4022. *Detomidine hydrochloride* and *butorphanol tartrate* may be used in combination to sedate equine patients and is commonly abbreviated as Dorm/Torb.

29. *Dex – SP or dexamethasone NaP* abbreviation for dexamethasone sodium phosphate a steroid used to treat swelling and inflammation. It is available in many strengths. It is a dangerous drug pursuant to section 4022.

30. *Dexamethasone* is a steroid used to treat inflammation and swelling and is available as an injectable, oral drug, or topical preparation. Dexamethasone is a dangerous drug pursuant to section 4022.

31. *DMSO, abbreviation for dimethyl sulfoxide.* Approved by the FDA for only topical use in the horse. Not approved for IV use and no approved commercial DMSO products exist for parental use in the horse. An industrial solvent used for anti-inflammatory properties. DMSO is a dangerous drug pursuant to section 4022.

32. *Dorm/Torb*, see detomidine hydrochloride and butorphanol tartrate.

33. *EIPH* is an abbreviation for exercise induced pulmonary hemorrhage.

34. *Enrofloxin*, sold as Enroflox and Baytril, is used to treat various infections. Enrofloxin is restricted for use by or on the order of a licensed veterinarian and is a dangerous drug pursuant to Code section 4022.

35. *Entrolyte HE*, an oral electrolyte supplement. It is not a dangerous drug pursuant to Code section 4022.

36. *Fulvicin Paste*, a compounded paste, not FDA approved, of the drug griseofulvin used for the treatment of fungal diseases. It is a dangerous drug pursuant to Code section 4022.

37. *Furosemide*, sold under the brand names of Lasix or Salix, is a diuretic used in horse racing as an anti-bleeding medication to prevent exercise-induced pulmonary hemorrhage in horses running at high speed and has long been considered a performance enhancing drug in the horse racing industry. Furosemide is available by prescription only and is a dangerous drug pursuant to Code section 4022.

38. *Lasix*, see furosemide.

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39. *Malaseb Shampoo* is a prescription shampoo containing miconazole 2% an antifungal ingredient and also antibacterial properties. It is a dangerous drug pursuant to section 4022.

40. *Methocarbamol*, sold under the brand name Robaxin-V, is a potent skeletal muscle relaxant, and federal law restricts this drug to use by or on the order of a licensed veterinarian. Methocarbamol is a dangerous drug pursuant to section 4022.

41. *Metronidazole*, an antibiotic. It is a dangerous drug pursuant to section 4022.

42. *Pen-G or Procaine Penicillin G* is an injectable antibiotic. It is a dangerous drug pursuant to section 4022.

43. *Phenylbutazone*, commonly known as Bute and sold as Butazolidin, is a non-steroidal anti-inflammatory drug (NSAID) used to treat lameness in horses. It is commonly administered by IV and oral routes. Phenylbutazone is a dangerous drug pursuant to section 4022. When phenylbutazone is combined with an undefined corticosteroid, the combination is commonly referred to as Bute-Cort and used as a topical sweat (a sweat is performed by applying a topical medication to a part of the leg and covering it with a plastic film and bandage for a period of time). Bute-Cort is a dangerous drug pursuant to section 4022.

44. *Polysulfated glycosaminoglycan*, sold under the brand name Adequan, is used for the intramuscular treatment of non-infectious degenerative and/or traumatic joint dysfunction and associated lameness of the carpal and hock joints in horses. Polysulfated glycosaminoglycan is a dangerous drug pursuant to section 4022.

45. *Regumate*, tradename for altrenogest, a hormone used to suppress estrus in mares. It is a dangerous drug pursuant to section 4022.

46. *Robaxin*, see methocarbamol.

47. *SAA, Serum Amyloid A*, a blood test used as a marker of non-specific inflammatory condition of the horse. Normal values 0-20 with most normal horses < 12.

48. *Salix*, see furosemide.

49. *Silvadene Cream*, a trade name for silver sulfadiazine, is used to treat skin wounds and burns topically. It is a dangerous drug pursuant to section 4022.

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50. *Surpass Cream*, a brand name for diclofenamic acid, an anti-inflammatory topical cream. Surpass is a dangerous drug pursuant to section 4022.

51. *Tetracycline* is an antibiotic. It is a dangerous drug pursuant to section 4022.

52. *TPR WNL* is an abbreviation for temperature, pulse, and respiration within normal limits.

53. *Throat Spray* is a compounded topical spray usually containing various mixtures of corticosteroids, antiseptics, antibiotics, and anti-inflammatories, depending on the compounding source. It is a dangerous drug pursuant to section 4022.

54. Thyro-L Thyroxine, also known as levothyroxine, is sold under the trade name of Thyro-L (levothyroxine sodium powder USP). Thyro-L is not approved by the FDA. The label of Thyro-L shows indications for treatment of specific disease, supplies doses, contraindications, and bears the federal legend, “Federal law restricts this product to use by or on the order of a licensed veterinarian”. In as much it is a misbranded drug under federal [21 USC § 331 and 21 USC § 352(w)] and California State law [BPC § 4169]. Other commercially available forms of levothyroxine powder for equine use are marketed as branded or generic products. None of the marketed levothyroxine powder products are FDA approved. Thyro-L, levothyroxine, and branded levothyroxines are dangerous drugs as defined under section 4022.

55. *Trimethoprim and sulfadiazine*, sold as Uniprim, Tucoprim, and Tribrissen, is an oral antibiotic used in horses to treat systemic bacterial infections. Trimethoprim and sulfadiazine is restricted for use by or on the order of a licensed veterinarian and is a dangerous drug pursuant to Code section 4022.

56. *Uniprim*, see trimethoprim and sulfadiazine.

57. *Vitamins*, commonly referred to as Vit Booster or Vits, are injectable nutritive supplements used in horses and are dangerous drugs pursuant to section 4022.

FACTUAL ALLEGATIONS

58. Respondent Canfield is the managing licensee for Respondent CEP in Monrovia, CA and a contract veterinarian for Equine Medical Center (EMC) in Cypress, CA. Respondent Canfield operates a mobile unit for both Respondent CEP and EMC.

1 59. Respondent Canfield's area of practice is equine dentistry and equine veterinary
2 medicine in a betting and racetrack environment.

3 60. On or about April 4, 2020, a Board Inspector performed a routine inspection of the
4 mobile unit operated by Respondent Canfield.

5 61. On or about May 15, 2020, Board Subject Matter Expert, Dr. James Howard, DVM,
6 inspected the fixed premises of EMC.

7 62. During the inspection, Dr. Howard requested equine medical records. Staff at EMC
8 printed the requested records.

9 63. Dr. Howard repeatedly inquired if the printed records were the entirety of the medical
10 record for the individual horses. EMC staff confirmed that the printed records were the entirety
11 of the medical record for each horse.

12 64. In February 2021, the Board received an anonymous complaint concerning the unsafe
13 treatment of equine patients by multiple veterinarians. The Board initiated an investigation based
14 on the anonymous complaint. As part of its investigation, the Board received equine medical
15 records from Respondent and/or her representative.

16 65. During its investigation, the Board received Veterinarian Confidential Reports from
17 the CHRB documenting the equine patients treated by Respondent Canfield from January 2021 to
18 March 2021. Veterinarians are required to submit Veterinarian Confidential Reports for horses
19 treated at any CHRB-regulated location. The reports revealed that Respondent Canfield treated
20 approximately 2,288 equine patients over 59 work days (average of 38 horses per day), as
21 follows:

- 22 a. Approximately 807 patients over 21 work days in January 2021;
23 b. Approximately 749 patients over 20 work days in February 2021;
24 c. Approximately 732 patients over 18 work days in March 2021;

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66. The Veterinarian Confidential Reports for Respondent Canfield revealed that clusters of equine patients were administered identical medications and treatments, at the same time, at the request of their trainers without medical necessity. The following chart provides examples of the medication or treatments that Respondent Canfield administered to clusters of equine patients with the same trainers:

Date	Trainer Last Initial	Number of Patients	Medication or Treatment Received
01/01/2021	Trainer M.	7 horses	Adequan
01/03/2021	Trainer H.	5 horses	Adequan
01/04/2021	Trainer P.	3 horses	Acepromazine
01/07/2021	Trainer P.	3 horses	BC2A
01/07/2021	Trainer P.	3 horses	Vit B
01/07/2021	Trainer S.	3 horses	Bute
01/14/2021	Trainer B.	3 horses	DMSO, Bute, Adequan
01/15/2021	Trainer M.	2 horses	Acepromazine
01/15/2021	Trainer B.	2 horses	Vit Jug
01/15/2021	Trainer S.	5 horses	Bute
01/16/2021	Trainer D.	2 horses	Vits
01/16/2021	Trainer O.	3 horses	Vits
01/16/2021	Trainer O.	3 horses	Adequan
01/16/2021	Trainer O.	2 horses	Robaxin
01/18/2021	Trainer S.	3 horses	Acepromazine
01/21/2021	Trainer B.	2 horses	Vits
01/21/2021	Trainer S.	3 horses	Dorm/Torb, Banamine, Amikacin, Betavet
01/24/2021	Trainer S.	2 horses	Acepromazine
01/24/2021	Trainer S.	5 horses	Adequan
01/28/2021	Trainer S.	2 horses	DMSO/Cortisone(dex)

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01/28/2021	Trainer S.	5 horses	Adequan
01/28/2021	Trainer D.	14 horses	Bute Powder
01/28/2021	Trainer D.	12 horses	Robaxin Tabs
01/28/2021	Trainer D.	23 horses	Acepromazine Liquid
01/29/2021	Trainer B.	2 horses	Vits
01/29/2021	Trainer R.	2 horses	Bute
01/31/2021	Trainer O.	4 horses	BC2A
02/04/2021	Trainer B.	2 horses	Vits
02/04/2021	Trainer S.	2 horses	Bute
02/06/2021	Trainer B.	2 horses	Robaxin
02/07/2021	Trainer O.	4 horses	BC2A
02/08/2021	Trainer D.	5 horses	Robinul
02/11/2021	Trainer S.	2 horses	Bute
02/11/2021	Trainer S.	2 horses	Fluids + Vits
02/11/2021	Trainer S.	3 horses	Acepromazine
02/12/2021	Trainer S.	2 horses	DMSO, Bute, Adequan
02/12/2021	Trainer S.	3 horses	Bute
02/12/2021	Trainer S.	3 horses	Bute
02/13/2021	Trainer S.	43 horses	Gastrogard
02/14/2021	Trainer S.	3 horses	Vits
02/17/2021	Trainer S.	2 horses	DMSO/Cortisone(dex)
02/18/2021	Trainer S.	3 horses	Adequan
02/19/2021	Trainer T.	2 horses	Bute, Roxbaxin
02/19/2021	Trainer S.	5 horses	Bute
02/20/2021	Trainer O.	3 horses	Robinul
02/20/2021	Trainer O.	4 horses	Vits
02/20/2021	Trainer T.	2 horses	Vits

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02/20/2021	Trainer D.	2 horses	Vits
02/20/2021	Trainer D.	4 horses	Adequan
02/21/2021	Trainer S.	3 horses	Acepromazine
02/21/2021	Trainer M.	2 horses	Acepromazine
02/21/2021	Trainer S.	2 horses	DMSO, Eq Stim
02/22/2021	Trainer D.	6 horses	Robinul
02/22/2021	Trainer D.	10 horses	BC2A
02/25/2021	Trainer M.	2 horses	Acepromazine
02/25/2021	Trainer B.	3 horses	Bute, DMSO, Adequan
02/25/2021	Trainer S.	3 horses	Bute
03/01/2021	Trainer D.	8 horses	Robinul
03/05/2021	Trainer M.	3 horses	Dantrium
03/09/2021	Trainer S.	4 horses	Acepromazine
03/15/2021	Trainer D.	3 horses	Robinul
03/18/2021	Trainer S.	2 horses	Bute, Robaxin
03/19/2021	Trainer M.	3 horses	Acepromazine
03/19/2021	Trainer L.	4 horses	BC2A
03/21/2021	Trainer B.	4 horses	Bute Tabs split
03/21/2021	Trainer C.	3 horses	Vits
03/21/2021	Trainer C.	3 horses	Caco Copper
03/22/2021	Trainer D.	5 horses	Tetracycline
03/25/2021	Trainer S.	4 horses	Acepromazine
03/25/2021	Trainer S.	4 horses	Bute
03/26/2021	Trainer S.	3 horses	Bute
03/28/2021	Trainer S.	3 horses	Fluids + Vits
03/28/2021	Trainer L.	3 horses	Bute
03/29/2021	Trainer D.	4 horses	Gastrogard

FIRST CAUSE FOR DISCIPLINE**(Negligence)**

67. Respondent Canfield is subject to disciplinary action under section 4883, subdivision (i), for negligence in the practice of veterinary medicine. Respondent Canfield prescribed, dispensed, or administered a drug, medication, appliance, application, or treatment to animal patients without performing an examination and forming a diagnosis of any condition that required treatment, as follows:

68. Between July 18, 2019 and August 7, 2019, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or administered the following medications to equine patient B.T.G.¹:

- a. Thyro-L;
- b. Lasix-IV;
- c. Salix;
- d. Fulvicin paste;
- e. Bute;
- f. Phenylbutazone; and
- g. Methocarbamol.

69. On February 17, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or administered tetracycline to equine patient O.S.

70. On February 17, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or administered Baytril to equine patient T.T.

71. On or about March 4, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or administered a Bute Injection to equine patient F.

¹ Initials are used to protect the identities of the equine patients.

1 72. On or about March 4, 2020, without performing an examination and forming a
2 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
3 administered a Bute Injection to equine patient G.B.

4 73. On or about March 7, 2020, without performing an examination and forming a
5 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
6 administered the following medications to equine patient O.S.:

- 7 a. Lasix; and
- 8 b. Vitamin shot.

9 74. On or about March 17, 2020, without performing an examination and forming a
10 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
11 administered the following medications to equine patient A.W.:

- 12 a. Tetracycline;
- 13 b. Dexamethasone; and
- 14 c. Malaseb shampoo.

15 75. On or about March 17, 2020, without performing an examination and forming a
16 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
17 administered Surpass cream to equine patient F.B.

18 76. On or about March 17, 2020, without performing an examination and forming a
19 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
20 administered Regumate to equine patient T.T.

21 77. On or about March 17, 2020, without performing an examination and forming a
22 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
23 administered the following medications to equine patient F.F.S.:

- 24 a. Tetracycline; and
- 25 b. Dexamethasone.

26 78. On or about March 17, 2020, without performing an examination and forming a
27 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
28 administered Regumate to equine patient T.H.C.

1 79. On or about March 17, 2020, without performing an examination and forming a
2 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
3 administered Uniprim to equine patient H.P.M.

4 80. On or about March 17, 2020, without performing an examination and forming a
5 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
6 administered metronidazole to equine patient B.R.

7 81. On or about March 20, 2020, without performing an examination and forming a
8 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
9 administered BC2A amino acid paste to equine patient F.B.

10 82. On or about March 30, 2020, without performing an examination and forming a
11 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
12 administered the following medications to equine patient B.R.:

- 13 a. DMSO;
- 14 b. Bute;
- 15 c. Dexamethasone; and
- 16 d. Surpass cream.

17 83. On or about April 2, 2020, without performing an examination and forming a
18 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
19 administered the following medications to equine patient B.B.:

- 20 a. Adequan IM;
- 21 b. Banamine Injection; and
- 22 c. Vitamins administered via the jugular vein.

23 84. On or about April 7, 2019, without performing an examination and forming a
24 diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or
25 administered the following medications to equine patient K.T.:

- 26 a. Dorm/Torb;
- 27 b. Tetracycline;
- 28 c. Dexamethasone; and

d. Bute Injection

85. On or about September 20, 2019, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or administered Pen-G to equine patient G.R.

86. On or about September 2, 2019, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or administered Throat Spray to equine patient G.R.

87. On or about June 14, 2019, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or administered the following medications to equine patient G.R.:

a. Malaseb Shampoo; and

b. Silvadene Cream.

88. On or about January 11, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or administered Pen-G to equine patient J.T.

89. On or about January 12, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield prescribed, dispensed, or administered Pen-G to equine patient J.T.

SECOND CAUSE FOR DISCIPLINE

(Unprofessional Conduct - Dispensing Dangerous Drugs without Medical Necessity)

90. Respondent Canfield is subject to disciplinary action for unprofessional conduct under section 4883, subdivision (g)(3), for violations of section 4170, subdivision (a)(2) in that Respondent Canfield dispensed unnecessary dangerous drugs to equine patients, as follows:

91. Between July 18, 2019 and August 7, 2019, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed the following unnecessary dangerous drugs to equine patient B.T.G.:

a. Thyro-L;

b. Lasix;

- c. Fulvicin paste;
- d. Bute;
- e. Phenylbutazone; and
- f. Methocarbamol.

92. On or about February 17, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed tetracycline, an unnecessary dangerous drug, to equine patient O.S.

93. On or about February 17, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed Baytril, an unnecessary dangerous drug, to equine patient T.T.

94. On or about March 4, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed a Bute Injection, an unnecessary dangerous drug to equine patient F.

95. On or about March 4, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed a Bute Injection, an unnecessary dangerous drug to equine patient G.B.

96. On or about March 7, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed the following unnecessary dangerous drugs to equine patient O.S.:

- a. Lasix; and
- b. Vitamin booster.

97. On or about March 17, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed Regumate, an unnecessary dangerous drug, to equine patient T.T.

98. On or about March 17, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed the following unnecessary dangerous drugs to equine patient A.W.:

- a. Tetracycline;

1 b. Dexamethasone; and

2 c. Malaseb shampoo.

3 99. On or about March 17, 2020, without performing an examination and forming a
4 diagnosis of any condition that required treatment, Respondent Canfield dispensed metronidazole,
5 an unnecessary dangerous drug, to equine patient B.R.

6 100. On or about March 17, 2020, without performing an examination and forming a
7 diagnosis of any condition that required treatment, Respondent Canfield dispensed Uniprim, an
8 unnecessary dangerous drug, to equine patient H.P.M.

9 101. On or about March 17, 2020, without performing an examination and forming a
10 diagnosis of any condition that required treatment, Respondent Canfield dispensed Surpass
11 cream, an unnecessary dangerous drug, to equine patient F.B.

12 102. On or about March 17, 2020, without performing an examination and forming a
13 diagnosis of any condition that required treatment, Respondent Canfield dispensed the following
14 unnecessary dangerous drugs to equine patient F.F.S.:

15 a. Tetracycline; and

16 b. Dexamethasone.

17 103. On or about March 17, 2020, without performing an examination and forming a
18 diagnosis of any condition that required treatment, Respondent Canfield dispensed Regumate, an
19 unnecessary dangerous drug, to equine patient T.H.C.

20 104. On March 30, 2020, without performing an examination and forming a diagnosis of
21 any condition that required treatment, Respondent Canfield dispensed the following unnecessary
22 dangerous drugs to equine patient B.R.:

23 a. DMSO;

24 b. Bute;

25 c. Dexamethasone; and

26 d. Surpass cream.

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105. On or about April 2, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed the following unnecessary dangerous drugs to equine patient B.B:

- a. Adequan IM;
- b. Banamine Injection; and
- c. Vitamin Booster via jugular vein.

106. On or about April 7, 2019, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed the following unnecessary dangerous drugs to equine patient K.T.:

- a. Bute Injection;
- b. Dexamethasone; and
- c. Tetracycline.

107. On or about June 14, 2019, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed the following unnecessary dangerous drugs to equine patient G.R.:

- a. Malaseb Shampoo; and
- b. Silvadene.

108. On or about September 2, 2019, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed a Throat Spray, an unnecessary dangerous drug to equine patient G.R.

109. On or about September 20, 2019, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed Pen-G, an unnecessary dangerous drug to equine patient G.R.

110. On or about January 11, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed Pen-G, an unnecessary dangerous drug to equine patient J.T.

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111. On or about January 12, 2020, without performing an examination and forming a diagnosis of any condition that required treatment, Respondent Canfield dispensed Pen-G, an unnecessary dangerous drug to equine patient J.T.

THIRD CAUSE FOR DISCIPLINE

(Unprofessional Conduct - Failure to Establish Veterinarian-Client-Patient Relationship)

112. Respondent Canfield is subject to disciplinary action for unprofessional conduct under section 4883, subdivisions (g) and (o), and CCR, title 16, section 2032.1, subsection (a). Respondent Canfield did not establish a veterinarian-client-patient relationship (VCPR) before administering, prescribing, dispensing, or furnishing a drug, medicine, application, or treatment, as follows.

113. As set forth in paragraphs 68 to 111 above and incorporated by reference, Respondent Canfield prescribed, dispensed, or administered drugs to equine patients, without establishing a VCPR with the patients. Respondent Canfield failed to: (1) perform and/or document an examination of the patients; (2) form and/or document a diagnosis of any condition that required treatment; or (3) communicate and/or document the appropriate course of treatment with the client.

FOURTH CAUSE FOR DISCIPLINE

(Negligence and Violation of Board Regulations Anesthesia and Recordkeeping)

114. Respondent Canfield is subject to disciplinary action under section 4883, subdivisions (i) and (o), for violations of CCR, title 16, section 2032.4, subsection (b), for failing to comply with requirements when administering general anesthesia as follows:

115. On or about January 23, 2020, Respondent Canfield treated equine patient G.R. Respondent Canfield failed to document a physical examination within 12 hours of a general anesthetic as required under CCR, title 16, section 2032.4, subsection (b)(1); failed to document the route of administration of anesthetics as required under CCR, title 16, section 2032.4, subsection (b)(6); and failed to document the type of local anesthetic or strength as required under CCR, title 16, section 2032.4, subsection (b)(6).

116. On or about April 21, 2020, Respondent Canfield treated equine patient V.A. Respondent Canfield failed to document a physical examination within 12 hours of a general anesthetic as required under CCR, title 16, section 2032.4, subsection (b)(1); failed to document the route of administration of anesthetics as required under CCR, title 16, section 2032.4, subsection (b)(6); and failed to document the type of local anesthetic or strength as required under CCR, title 16, section 2032.4, subsection (b)(6).

FIFTH CAUSE FOR DISCIPLINE

(Violation of Practice Act and Board Regulations - Recordkeeping)

117. Respondent Canfield is subject to disciplinary action for violating the Veterinary Medicine Practice Act (Practice Act) and regulations adopted by the Board under section 4883, subdivisions (c) and (o), for failing to keep written records containing information required under section 4855 and CCR, title 16, section 2032.3, for equine patients receiving veterinary services, as follows:

118. The Patient History Reports for equine patient B.T.G., dated between July 18, 2019 and August 7, 2019, failed to include the following information:

- a. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- b. A diagnosis or assessment prior to performing a treatment or procedure, as required under CCR, title 16, section 2032.3, subsection (a)(10);
- c. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11).

119. The Patient History Reports for equine patient T.T., between February 17, 2020 and March 17, 2020, failed to include the following information:

- a. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- b. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);

- 1 c. Strength, dosage, route of administration, quantity, and frequency of use for drugs
2 dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

3 120. The Patient History Reports for equine patient O.S., between February 17, 2020 and
4 March 17, 2020, failed to include the following information:

- 5 a. Data, including that obtained by instrumentation, from the physical examination of
6 the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
7 b. Treatment and intended treatment plan, including medication dosages and route of
8 administration, as required under CCR, title 16, section 2032.3, subsection (a)(8);
9 c. A prognosis of the equine patient's condition, as required under CCR, title 16,
10 section 2032.3, subsection (a)(11);
11 d. Strength, dosage, route of administration, quantity, and frequency of use for drugs
12 dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

13 121. The Patient History Report for equine patient F., dated March 4, 2020, failed to
14 include the following information:

- 15 a. Age, sex, breed, species, and color of the equine patient as required under CCR, title
16 16, section 2032.3, subsection (a)(4);
17 b. A history or pertinent information as it pertains to the equine patient's medical status
18 as required under CCR, title 16, section 2032.3, subsection (a)(6);
19 c. Data, including that obtained by instrumentation, from the physical examination of
20 the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
21 d. Treatment and intended treatment plan, including medication dosages and route of
22 administration, as required under CCR, title 16, section 2032.3, subsection (a)(8);
23 e. A diagnosis or assessment prior to performing a treatment or procedure, as required
24 under CCR, title 16, section 2032.3, subsection (a)(10);
25 f. A prognosis of the equine patient's condition, as required under CCR, title 16,
26 section 2032.3, subsection (a)(11);
27 g. Strength, dosage, route of administration, quantity, and frequency of use for drugs
28 dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

122. The Patient History Report for equine patient G.B., dated March 4, 2020, failed to include the following information:

- a. Age, sex, breed, species, and color of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(4);
- b. A history or pertinent information as it pertains to the equine patient's medical status as required under CCR, title 16, section 2032.3, subsection (a)(6);
- c. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- d. Treatment and intended treatment plan, including medication dosages and route of administration, as required under CCR, title 16, section 2032.3, subsection (a)(8);
- e. A diagnosis or assessment prior to performing a treatment or procedure, as required under CCR, title 16, section 2032.3, subsection (a)(10);
- f. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);
- g. Strength, dosage, route of administration, quantity, and frequency of use for drugs dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

123. The Patient History Report for equine patient A.W., dated March 17, 2020, failed to include the following information:

- a. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- b. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11).

124. The Patient History Report for equine patient F.F.S., dated March 17, 2020, failed to include the following information:

- a. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- b. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11).

125. The Patient History Report for equine patient T.H.C., dated March 17, 2020, failed to include the following information:

- a. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- b. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);
- c. Strength, dosage, route of administration, quantity, and frequency of use for drugs dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

126. The Patient History Report for equine patient H.P.M., dated March 17, 2020, failed to include the following information:

- a. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- b. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);
- c. Strength, dosage, route of administration, quantity, and frequency of use for drugs dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

127. The Patient History Reports for equine patient B.R., dated between March 17, 2020 and March 30, 2020, failed to include the following information:

- a. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- b. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);
- c. Strength, dosage, route of administration, quantity, and frequency of use for drugs dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

128. The Patient History Reports for equine patient F.B., dated between March 17, 2020 and March 20, 2020, failed to include the following information:

- a. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);

- b. A diagnosis or assessment prior to performing a treatment or procedure, as required under CCR, title 16, section 2032.3, subsection (a)(10);
- c. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);
- d. Strength, dosage, route of administration, quantity, and frequency of use for drugs dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

129. The Patient History Report² for equine patient B.B., dated April 2, 2020, failed to include the following information:

- a. Age, sex, breed, species, and color of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(4);
- b. A history or pertinent information as it pertains to the equine patient's medical status as required under CCR, title 16, section 2032.3, subsection (a)(6);
- c. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- d. Treatment and intended treatment plan, including medication dosages and route of administration, as required under CCR, title 16, section 2032.3, subsection (a)(8);
- e. A diagnosis or assessment prior to performing a treatment or procedure, as required under CCR, title 16, section 2032.3, subsection (a)(10);
- f. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);
- g. Strength, dosage, route of administration, quantity, and frequency of use for drugs dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

130. The Patient History Report for equine patient K.T., dated April 7, 2019, failed to include the following information:

- a. Age, sex, breed, species, and color of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(4);

² A California Horse Racing Board Confidential Veterinarian Report was produced indicating the treatment provided to B.B. There is no Patient History Report at all documenting care for B.B.

- b. A history or pertinent information as it pertains to the equine patient's medical status as required under CCR, title 16, section 2032.3, subsection (a)(6);
- c. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- d. Treatment and intended treatment plan, including medication dosages and route of administration, as required under CCR, title 16, section 2032.3, subsection (a)(8);
- e. A diagnosis or assessment prior to performing a treatment or procedure, as required under CCR, title 16, section 2032.3, subsection (a)(10);
- f. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);
- g. Strength, dosage, route of administration, quantity, and frequency of use for drugs dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

131. The Patient History Reports for equine patient G.R., dated June 14, 2019, September 2, 2019, and September 20, 2019, failed to include the following information:

- a. Age, sex, breed, species, and color of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(4);
- b. A history or pertinent information as it pertains to the equine patient's medical status as required under CCR, title 16, section 2032.3, subsection (a)(6);
- c. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- d. Treatment and intended treatment plan, including medication dosages and route of administration, as required under CCR, title 16, section 2032.3, subsection (a)(8);
- e. A diagnosis or assessment prior to performing a treatment or procedure, as required under CCR, title 16, section 2032.3, subsection (a)(10);
- f. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);
- g. Strength, dosage, route of administration, quantity, and frequency of use for drugs dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

132. The Patient History Reports for equine patient J.T., dated January 11, 2020 and January 12, 2020, failed to include the following information:

- a. Age, sex, breed, species, and color of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(4);
- b. A history or pertinent information as it pertains to the equine patient's medical status as required under CCR, title 16, section 2032.3, subsection (a)(6);
- c. Data, including that obtained by instrumentation, from the physical examination of the equine patient as required under CCR, title 16, section 2032.3, subsection (a)(7);
- d. Treatment and intended treatment plan, including medication dosages and route of administration, as required under CCR, title 16, section 2032.3, subsection (a)(8);
- e. A diagnosis or assessment prior to performing a treatment or procedure, as required under CCR, title 16, section 2032.3, subsection (a)(10);
- f. A prognosis of the equine patient's condition, as required under CCR, title 16, section 2032.3, subsection (a)(11);
- g. Strength, dosage, route of administration, quantity, and frequency of use for drugs dispensed, as required under CCR, title 16, section 2032.3, subsection (a)(12).

SIXTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct – Misbranded Drugs)

133. Respondent Canfield is subject to disciplinary action for unprofessional conduct under section 4883, subdivision (g), for section 4169, subdivision (a)(3), and Health and Safety Code sections 111440 and 111450, for selling, delivering, holding, or offering for sale drugs that are misbranded.

134. On or about July 18, 2019, Respondent Canfield sold, delivered, held or offered Thyroxine L powder to equine patient B.T.G.

135. On or about March 30, 2020, Respondent Canfield sold, delivered, held or offered DMSO to equine patient B.R.

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SEVENTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct – Possession or Use of Prohibited Drugs)

136. Respondent Canfield is subject to disciplinary action under section 4883, subdivision (g)(3), for violating CCR, title 4, section 1867, subsection (b). Respondent Canfield possessed a drug, substance, or medication that has not been approved by the FDA for use in the United States.

137. On or about July 18, 2019, Respondent Canfield used Thyro-L in the treatment of equine patient B.T.G.

138. On or about July 31, 2019, Respondent Canfield used Fulvicin paste in the treatment of equine patient B.T.G.

139. On or about March 30, 2020, Respondent Canfield used DMSO in the treatment of equine patient B.R.

140. On or about April 2, 2020, Respondent Canfield used a Vitamin Booster in the treatment of equine patient B.B.

141. On or about September 2, 2019, Respondent Canfield used a Throat Spray in the treatment of equine patient G.R.

142. Between January 2021 and March 2021, Respondent Canfield used DMSO in the treatment of equine patients on at least 10 occasions.

143. Between January 2021 and March 2021, Respondent Canfield used Caco Copper in the treatment of equine patients on at least three occasions.

144. Between January 2021 and March 2021, Respondent Canfield used DMSO/Cortisone(dex) in the treatment of equine patients on at least four occasions.

145. Between January 2021 and March 2021, Respondent Canfield used Acepromazine Liquid in the treatment of equine patients on at least 23 occasions.

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EIGHTH CAUSE FOR DISCIPLINE**(Violation of Practice Act - Failure to Provide Required Drug Consultations)**

146. Respondent Canfield is subject to disciplinary action for violating the Practice Act under section 4883, subdivision (c), for failing to offer to provide and note in the medical record the required drug consultation for prescribed, dispensed, or furnished dangerous drugs as required under section 4829.5, subdivisions (a) and (d).

147. On or about July 18, 2019, Respondent Canfield treated equine patient B.T.G. with Thyro-L without offering to provide and note in the medical record the required drug consultation.

148. On or about July 31, 2019, Respondent Canfield treated equine patient B.T.G. with Fulvicin paste without offering to provide and note in the medical record the required drug consultation.

149. On or about June 14, 2019, Respondent Canfield treated equine patient G.R. with Malaseb Shampoo and Silvadene Cream without offering to provide and note in the medical record the required drug consultation.

150. On or about September 2, 2019, Respondent Canfield treated equine patient G.R. with Throat Spray without offering to provide and note in the medical record the required drug consultation.

151. On or about March 17, 2020, Respondent Canfield treated equine patient A.W. with Malaseb shampoo without offering to provide and note in the medical record the required drug consultation.

152. On or about March 17, 2020, Respondent Canfield treated equine patient T.H.C. with Regumate without offering to provide and note in the medical record the required drug consultation.

153. On or about March 17, 2020, Respondent Canfield treated equine patient F.B. with Surpass cream without offering to provide and note in the medical record the required drug consultation.

154. On or about March 17, 2020, Respondent Canfield treated equine patient T.T. with Regumate without offering to provide and note in the medical record the required drug consultation.

155. On or about March 17, 2020, Respondent Canfield treated equine patient H.P.M. with Uniprim without offering to provide and note in the medical record the required drug consultation.

156. On or about March 17, 2020, Respondent Canfield treated equine patient B.R. with metronidazole and Surpass cream without offering to provide and note in the medical record the required drug consultation.

157. On or about August 31, 2020, Respondent Canfield treated equine patient G.P. with Bute orally without offering to provide and note in the medical record the required drug consultation.

NINTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct – Altering Medical Records)

158. Respondent Canfield is subject to disciplinary action under section 4883, subdivision (g), and CCR, title 16, section 2032.35, for altering or modifying medical records, with fraudulent intent, or creating a false medical record, with fraudulent intent.

159. On or about September 10, 2020, Respondent Canfield submitted records to the Board for the following horses which contained false or fraudulent information for the purpose of attempting to comply with the record keeping requirements of the Board:

- a. Medical record for equine patient F.B. dated September 2, 2020;
- b. Medical record for equine patient G.P. dated August 31, 2020; and
- c. Medical record for equine patient T.R. dated September 1, 2020.

VETERINARY PREMISES REGISTRATION

160. Pursuant to section 4853.6, if the Board suspends or revokes Veterinarian License Number VET 12992 issued to Respondent Canfield, the Board shall suspend or revoke Veterinary Premises Registration Number HSP 6058 issued to Respondent Canfield, as managing licensee of Respondent CEP.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters alleged in the Accusation, and that following the hearing, the Board issue a decision:

1. Revoking or suspending Veterinarian License Number VET 12992, issued to Cathaleen Canfield;
2. Revoking or suspending Premises Registration Number HSP 6058, issued to Canfield Equine Practice, Inc.;
3. Ordering Canfield Equine Practice, Inc. to pay the Veterinary Medical Board the reasonable costs of the investigation and enforcement of this case pursuant to Business and Professions Code section 125.3;
4. Assessing a fine against Canfield Equine Practice, Inc. not in excess of \$5,000 for any of the causes specified in Business and Professions section 4883; and
5. Taking such other and further action as deemed necessary and proper.

DATED: April 8, 2022

Signature on file

JESSICA SIEFERMAN
Executive Officer
Veterinary Medical Board
Department of Consumer Affairs
State of California
Complainant

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PROBATION COMPLIANCE REPORT

PETITIONER: Cathaleen Canfield, DVM, VET 12992

REPORT TYPE: Probation Compliance Report

CASE NUMBER: 4602021001575

PROBATIONARY TERM: Three years: June 19, 2023 – June 18, 2027

PROBATION TERMS AND CONDITIONS AND STATUS:

Obey All Laws: Compliant.

The Board has not received any notices of arrest or criminal conviction concerning Respondent. The Board has not taken any action against Respondent for violations of the California Veterinary Medicine Practice Act.

Quarterly Reports: Compliant.

All Quarterly Reports were submitted in a timely manner.

Interview with the Board: Compliant.

The probation intake meeting with Respondent occurred on June 19, 2023.

Cooperation with Board Staff: Compliant.

Respondent has responded and cooperated with probation surveillance in a timely manner when requested to respond.

Probation Monitoring Costs: Compliant.

Respondent has paid all probation monitoring costs to date.

Changes of Employment or Address: Compliant.

Respondent has maintained the same address and employment for the duration of probation. Canfield Equine Practice Inc. is listed in the pleading, however Respondent practices out of Equine Medical Center, HSP 3171.

No Supervision of Students, Interns, or Residents: Compliant.

No evidence has been received that Respondent has had any preceptorships or supervision of interns.

Notice to Employers: Compliant.

The Board received a Notice to Employers form from Equine Medical Center on June 28, 2023. The Board received a Notice to Employers form from Southern California Equine Foundation on July 8, 2023.

Notice to Employees: Compliant.

The Board received evidence Respondent has posted a Notice to Employees form at Equine Medical Center on or about August 23, 2025.

Probation Compliance Report
Cathaleen Canfield, DVM

Tolling of Probation:

Respondent has not entered tolling status during his probationary period.

Maintain a Current and Active License: Compliant.

Respondent has maintained a valid license during probation.

Violation of Probation:

Since the effective date of the Decision, no Accusation or Petition to Revoke Probation has been filed against Respondent for a probation violation.

License Surrender While on Probation/Suspension:

Respondent has not requested to surrender his license.

Completion of Probation.

All Probation Monitoring costs have been paid to date.

Cost Recovery: Compliant.

Respondent proposed a payment plan on July 3, 2023. Cost Recovery was paid in full on January 7, 2025.

Inspections: Compliant.

Respondent's mobile unit, in connection to Equine Medical Center, was inspected on September 27, 2024, and August 9, 2025. No corrections were needed from Respondent.

Medical Record Review: Compliant.

All medical record review reports were received in a timely manner.

Continuing Education: Compliant.

The Board received a request for approval for 10 hours of record keeping and a certificate for the first year of probation.

The Board received a request for approval for 10 hours of record keeping and a certificate for the second year of probation.

The Board received a request for approval for 8 hours of record keeping and certificates for the third year of probation.

Fine: Compliant.

Respondent proposed a payment plan on July 3, 2023. Respondents fine was paid in full on January 7, 2025.

Completed by: Alexander Juarez Signature: SIGNATURE ON FILE Date: December 24, 2025